

# A search for diffuse bands in fullerene planetary nebulae: evidence of diffuse circumstellar bands<sup>★</sup>

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## ABSTRACT

Large fullerenes and fullerene-based molecules have been proposed as carriers of diffuse interstellar bands (DIBs). The recent detection of the most common fullerenes ( $C_{60}$  and  $C_{70}$ ) around some planetary nebulae (PNe) now enable us to study the DIBs towards fullerene-rich space environments. We search DIBs in the optical spectra towards three fullerene-containing PNe (Tc 1, M 1-20, and IC 418). Special attention is given to DIBs which are found to be unusually intense towards these fullerene sources. In particular, an unusually strong 4428 Å absorption feature is a common characteristic of fullerene PNe. Similar to Tc 1, the strongest optical bands of neutral  $C_{60}$  are not detected towards IC 418. Our high-quality ( $S/N > 300$ ) spectra for PN Tc 1, together with its large radial velocity, permit us to search for the presence of diffuse bands of circumstellar origin, which we refer to as diffuse circumstellar bands (DCBs). We report the first tentative detection of two DCBs at 4428 and 5780 Å in the fullerene-rich circumstellar environment around the PN Tc 1. Laboratory and theoretical studies of fullerenes in their multifarious manifestations (carbon onions, fullerene clusters, or even complex species formed by fullerenes and other molecules like PAHs or metals) may help solve the mystery of some of the diffuse band carriers.

**Key words.** astrochemistry – line: identification – circumstellar matter – ISM: molecules – planetary nebulae: general

## 1. Introduction

Identification of the carriers of the diffuse interstellar bands (DIBs) has been very elusive since they were first discovered by Heger (1922), who first noted their stationary nature as observed towards a spectroscopic binary, indicating that their origin was not stellar but rather interstellar. Since then, more than 380 bands have been identified (e.g., Hobbs et al. 2008), and they have been associated to the interstellar medium (ISM) because their strengths show a positive relationship with the observed extinction (Merrill & Wilson 1936), as well as to the neutral sodium column density (Herbig 1993). Most of the DIBs are located in the 4000 to 10 000 Å wavelength range<sup>1</sup>. Different complex carbon-based molecules – e.g., carbon chains, polycyclic aromatic hydrocarbons (PAHs), and fullerenes – have been proposed as DIB's carriers (see, e.g., Cox 2011, for a review).

The link between PAHs and DIBs was made by Crawford et al. (1985), Leger & d'Hendecourt (1985), and Van der Zwet & Allamandola (1985). Apart from having (electronic) transitions in the UV, optical, and near infrared, PAHs are also very resistant to UV radiation. Thus, the expected high abundance of PAHs in space, their optical absorption spectrum, and the presence of substructure in the DIB profiles, seem to give support for the

PAH-DIB hypothesis (see, e.g., Salama et al. 1999; Cox 2011). However, a potential problem with the PAH-DIB hypothesis is the lack of interstellar bands in the UV part of the astronomical spectra (Snow & McCall 2006; Snow & Destree 2011), where strong PAH transitions are expected (see, e.g., Tielens 2008).

Fullerenes and fullerene-related molecules (Kroto et al. 1985) are presented as an alternative to the PAH-DIB hypothesis. The remarkable stability of fullerenes against intense radiation (e.g., Cataldo et al. 2009) suggests that fullerenes may be present in the ISM. The most common fullerenes ( $C_{60}$  and  $C_{70}$ ) have recently been detected in a variety of space environments, such as planetary nebulae (PNe; Cami et al. 2010; García-Hernández et al. 2010, 2011a, 2012a), reflection nebulae (Sellgren et al. 2010), a proto-PN (Zhang & Kwok 2011), and the two least H-deficient R Coronae Borealis stars (García-Hernández et al. 2011b,c). The recent detection of fullerenes in PNe with normal H-abundances (García-Hernández et al. 2010) indicates that fullerenes are common around evolved stars and that they should be widespread in the ISM. Indeed, the 9577 and 9632 Å DIBs observed in a few reddened stars lie near two electronic transitions of the  $C_{60}^+$  cation observed in rare gas matrices (Foing & Ehrenfreund 1994). More recently, Iglesias-Groth & Esposito (2013) have reported the detection of the 9577 and 9632 Å DIBs in the fullerene-containing proto-PN IRAS 01005+7910, and they suggest the  $C_{60}^+$  cation as their carrier. However, a confirmation of the proposal that  $C_{60}^+$  could be the carrier of these two DIBs still awaits spectroscopic gas-phase  $C_{60}^+$  laboratory data. The detection of  $C_{60}^+$  through its infrared vibrational

<sup>★</sup> Appendix A is available in electronic form at <http://www.aanda.org>

<sup>1</sup> Geballe et al. (2011) report 13 newly discovered DIBs in the near-infrared region at the *H*-band (1.5–1.8 micrometre interval) on high-extinction sightlines towards stars in the Galactic centre.

bands in the NGC 7023 reflection nebula with the *Spitzer* Space Telescope could support the idea of  $C_{60}^+$  being a DIB carrier (Berné et al. 2013, 2014), but these  $C_{60}^+$  infrared bands are not seen in the *Spitzer* spectrum of the proto-PN IRAS 01005+7910 (see, e.g., Zhang & Kwok 2011).

At present, very little is known about the presence of the DIB carriers in other astrophysical environments (e.g., Cox 2011). If the DIBs arise from large gas phase molecules, such as PAHs and fullerenes, then they are also expected to be present in other carbon-rich space environments like circumstellar shells around stars. Diffuse circumstellar bands (DCBs) in absorption have been unsuccessfully studied for more than 40 years (Seab 1995)<sup>2</sup>. DCBs are absent in the dusty circumstellar envelopes (with or without PAH-like features) of AGB/post-AGB stars, as well as in the atmospheres of cool stars and Herbig Ae/Be stars (Seab 1995; Cox 2011; Luna et al. 2008). Thus, the conventional wisdom is that there are no diffuse bands in circumstellar environments. The unambiguous detection of DCBs would have a strong impact on diffuse bands theories; for example, they can be compared to the presence of the proposed diffuse band carriers mentioned above. The main difficulty to detect DCBs is to distinguish them from the DIBs (Seab 1995; Cox 2011). This distinction can only be made by measuring the radial velocities of the circumstellar and interstellar components. Here we search for the possible presence of DCBs in a selected sample of three fullerene-containing PNe.

In García-Hernández & Díaz-Luis (2013), we presented some of the new results for DIBs towards the fullerene PNe Tc 1 and M 1-20, and the very broad 4428 Å DIB was found to be unusually intense (based on the measured equivalent widths) towards both Tc 1 and M 1-20. The speculation was offered that the unusually strong 4428 Å DIB towards fullerene PNe may be related to the presence of larger fullerenes and buckyonions in their circumstellar envelopes. However, García-Hernández & Díaz-Luis (2013) did not carry out any radial velocity analysis, something that is mandatory for confirming a circumstellar origin.

In this paper, we present a detailed DIB radial velocity analysis and a complete search of diffuse bands towards three PNe (Tc 1, M 1-20, and IC 418) containing fullerenes and accompanied (or not) by PAH molecules. A summary of the optical spectroscopic observations is presented in Sect. 2. Section 3 gives a complete analysis of the DIBs towards fullerene PNe, including the normal DIBs most commonly found in the ISM and a few unusually strong DIBs. Section 4 presents our search for DCBs in fullerene PNe and their detection in PN Tc 1. Sections 5 and 6 discuss the non-detection of the electronic  $C_{60}$  transitions in the IC 418 optical spectrum and the possible connection between fullerenes and diffuse bands, respectively. The conclusions of our work are given in Sect. 7.

## 2. Optical spectroscopy of PNe with fullerenes

We acquired optical spectra of the fullerene PNe Tc 1 ( $B = 11.1$ ,  $E(B - V) = 0.23$ ; Williams et al. 2008), M 1-20 ( $B = 13.7$ ,  $E(B - V) = 0.80$ ; Wang & Liu 2007), and IC 418 ( $B = 9.8$ ,  $E(B - V) = 0.23$ ; Pottasch et al. 2004). The detection of fullerene-like features in the IC 418 *Spitzer* spectrum has recently been reported by Morisset et al. (2012). Tc 1 displays a fullerene-dominated spectrum with no clear signs of PAHs, while M 1-20 and IC 418

also show weak PAH-like features (see, e.g., García-Hernández et al. 2010; Meixner et al. 1996). All PNe in our sample also show unidentified broad dust emission features centred at  $\sim 9$ – $13$  and  $25$ – $35$   $\mu\text{m}$  (see, e.g., García-Hernández et al. 2012a). The effective temperature of PN IC 418 ( $T_{\text{eff}} = 36\,700$  K) is very similar to the one in Tc 1 ( $T_{\text{eff}} = 34\,060$  K), while M 1-20 ( $T_{\text{eff}} = 45\,880$  K) is among the fullerene PNe with the hottest central stars (Otsuka et al. 2014). Our sample PNe display round or else elliptical morphologies: round (Tc 1) and elliptical (IC 418, M 1-20) (see Fig. 1 in Otsuka et al. 2014).

The observations of Tc 1 and M 1-20 were carried out at the ESO VLT (Paranal, Chile) with UVES in service mode between May and September 2011 (see García-Hernández & Díaz-Luis 2013, for more observational details). We used the 2.4'' slit centred at the central stars of the two PNe (see Fig. 1 in Otsuka et al. 2014) and following the parallactic angle. This configuration should give a resolving power of  $\sim 15\,000$  from  $\sim 3300$  to  $9400$  Å. However, from the  $O_2$  telluric lines at  $\sim 6970$  Å, we measure a much higher resolving power of about  $37\,000$ . The signal-to-noise ratio (S/N) (in the final combined spectrum) in Tc 1 is very high ( $\sim 300$  at  $4000$  Å and  $>300$  at longer wavelengths), which permitted us to search for the expected electronic transitions of neutral  $C_{60}$  and both strong and weak DIBs (García-Hernández & Díaz-Luis 2013). In M 1-20, however, the final S/N ( $\sim 20$  at  $4000$  Å and  $>30$  at wavelengths longer than  $6000$  Å) was not high enough to search the relatively broad (and weak)  $C_{60}$  features around  $4000$  Å or the weakest DIBs.

The optical spectroscopic observations of IC 418 (the brightest fullerene PNe in our sample) were carried out at the Nordic Optical Telescope (NOT; Roque de los Muchachos, La Palma) in March 2013 (under service time) with the FIES spectrograph. The optical spectra were taken in the wavelength range  $\sim 3600$ – $7200$  Å by using the FIES low-resolution mode ( $3630$ – $7170$  Å; orders 157–80) with the 2.5'' fibre (centred at the IC 418 central star), which translates into a resolving power of  $\sim 25\,000$ . Three exposures of 1200 s each were obtained in order to reach a S/N of  $\sim 60$  at  $4000$  Å (and in excess of  $\sim 150$  at wavelengths longer than  $5000$  Å) in the final combined IC 418 spectrum.

As comparison stars, for Tc 1 and M 1-20 we selected the nearby B-type stars HR 6334 ( $B = 5.1$ ;  $E(B - V) = 0.42$ ; Wegner 2003) and HR 6716 ( $B = 5.7$ ;  $E(B - V) = 0.22$ ; Wegner 2003), respectively, while HR 1890 ( $B = 6.4$ ;  $E(B - V) = 0.08$ ; Wegner 2003) was selected for IC 418. These comparison stars were observed on the same dates as the PNe and with the same VLT/UVES and FIES set-ups. Two exposures of 300 s were enough to obtain a final S/N in excess of  $\sim 300$  in the final combined spectra of the comparison stars. The observed UVES and FIES spectra – processed with the UVES data reduction pipeline (Ballester et al. 2000) and with the FIES reduction software (FIESTool<sup>3</sup>), respectively – were corrected for heliocentric motion and combined, and the stellar continuum for the three PNe was fitted by using standard astronomical tasks in IRAF<sup>4</sup>. Table 1 lists some observational parameters, such as galactic coordinates, colour excess, and radial velocity for the

<sup>3</sup> See <http://www.not.iac.es/instruments/fies/fiestool/FIESTool-manual-1.0.pdf>

<sup>4</sup> Image Reduction and Analysis Facility (IRAF) software is distributed by the National Optical Astronomy Observatories, which is operated by the Association of Universities for Research in Astronomy, Inc., under cooperative agreement with the National Science Foundation.

<sup>2</sup> We note that some diffuse bands in emission have been previously seen in a proto-PN (the Red Rectangle) and in the R Coronae Borealis star V854 Cen (see, e.g., Scarrott et al. 1992; Rao & Lambert 1993).

**Table 1.** Observational parameters of fullerene PNe and their comparison stars.

Object	$l$	$b$	$E(B - V)$	$V_r$	Ref.	Comparison star	$l$	$b$	$E(B - V)$	$V_r$	Ref.
Tc 1	345.2375	-08.8350	0.23	-94.0	1, 2, 7	HR 6334	350.829	4.285	0.42	7.0	6, 9
M 1-20	6.187	8.362	0.80	75.0	3, 4, 7	HR 6716	7.162	-0.034	0.22	4.2	6, 10
IC 418	215.212	-24.284	0.23	62.0	4, 5, 8	HR 1890	208.177	-18.957	0.08	29.5	6, 10
HD 204827 <sup>a</sup>	99.167	5.552	1.06	20.0	6, 11						

**Notes.** <sup>(a)</sup> The Hobbs et al. (2008) reddened star used as a reference for DIBs (see Sect. 3).

**References.** (1) Williams et al. (2008); (2) Frew et al. (2013); (3) Wang & Liu (2007); (4) McNabb et al. (2013); (5) Pottasch et al. (2004); (6) Wegner (2003); (7) Beaulieu et al. (1999); (8) Wilson (1953); (9) Kharchenko et al. (2007); (10) Pourbaix et al. (2004); (11) Petrie & Pearce (1961).

three fullerene PNe in our sample and their corresponding comparison stars.

### 3. DIBs towards PNe

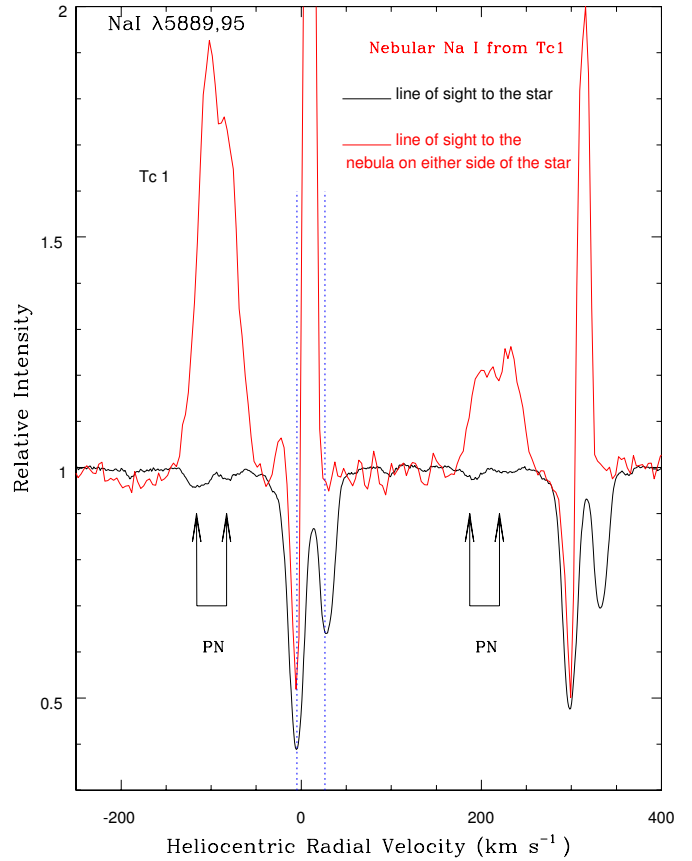
We followed the list of DIBs measured in the high-S/N HD 204287 spectrum (Hobbs et al. 2008) to search for them in the VLT/UVES spectra of Tc 1 and M 1-20, as well as in the NOT/FIES spectrum of IC 418.

Our list of DIBs in Tc 1, M 1-20, and IC 418 are displayed in Tables A.1–A.3, respectively, where we give the measured central wavelength ( $\lambda_c$ ), the full width at half maximum (FWHM) as defined in Hobbs et al. (2008), the equivalent width (EQW<sup>5</sup>), the S/N in the neighbouring continuum, and the normalized equivalent widths (EQW/ $E(B - V)$ ). For comparison, we also list in Table A.3 the EQW/ $E(B - V)$  values measured in HD 204827 and field-reddened stars by Hobbs et al. (2008) and Luna et al. (2008), respectively. The DIB parameters were measured using standard tasks in IRAF with no assumption on the DIB profiles; the only exception was the 4428 Å DIB for which we assumed a Lorentzian profile (see, e.g., Snow et al. 2002). We also list these parameters for the various interstellar components in those DIBs that are clearly resolved (e.g., the 6196 and 6379 Å DIBs; Table A.1).

The Tc 1 optical spectrum displays two interstellar clouds at  $-6.80 \text{ km s}^{-1}$  and  $+25.00 \text{ km s}^{-1}$ , as indicated by the two absorption components for the atomic (Na I, Ca I) and molecular (CH<sup>+</sup>) lines (see Figs. 1 and 2, Table A.4). Even the narrower DIBs towards Tc 1 (as 6379 Å) show this behaviour (see Fig. 2 and Table A.4). The same behaviour is shown by the comparison star HR 6334, which also shows the two interstellar components at the same radial velocities as Tc 1, confirming that both stars map similar ISM conditions.

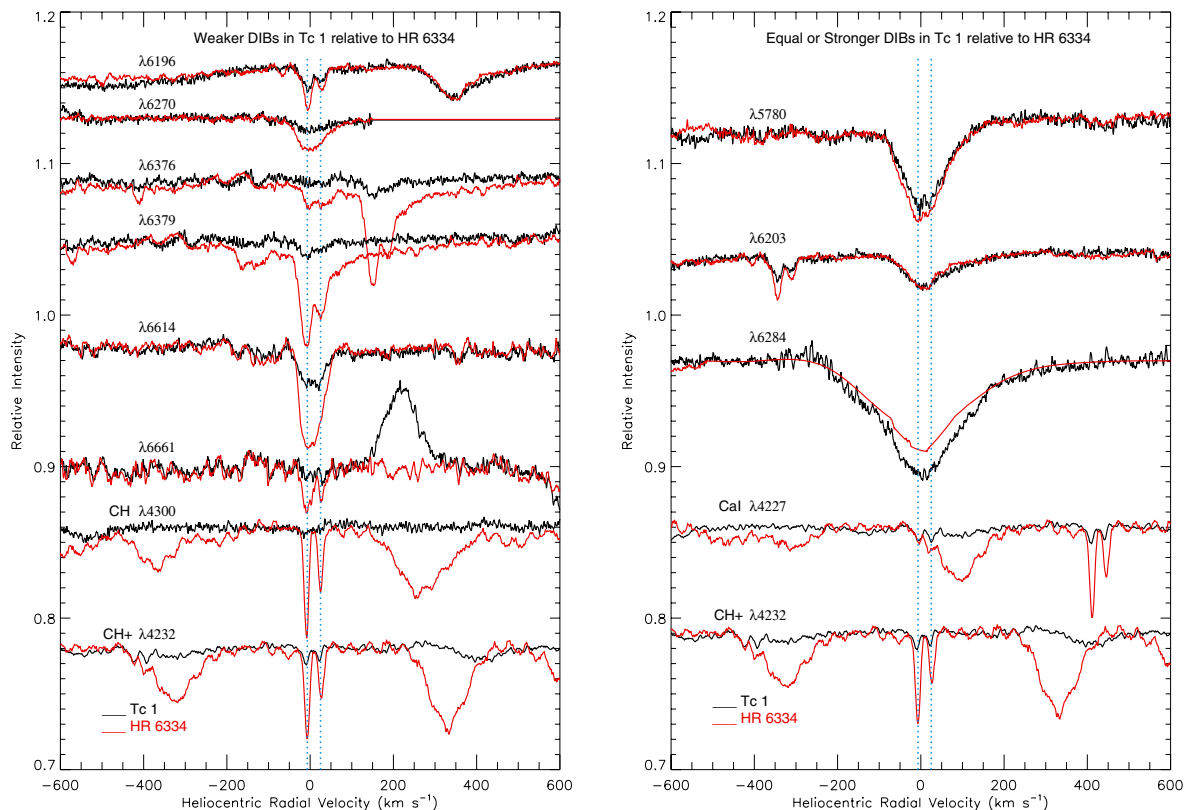
The M 1-20 optical spectrum, however, displays one Na I interstellar component (i.e., at  $-6.54 \text{ km s}^{-1}$ ) with a blue asymmetry that corresponds to a weaker non-resolved Na I interstellar component. Both components for the atomic Na I (e.g., at  $-6.12$ , and  $-26.43 \text{ km s}^{-1}$ ) interstellar lines are clearly resolved in the comparison star HR 6716 (see Fig. 3 and Table A.5), with the peculiarity that the Na I lines are broader in M 1-20. Only the strongest Na I interstellar component seems to be resolved in the DIBs (see Fig. 3). The presence of two clear (and narrower) interstellar components in the comparison star suggests that both stars could map slightly different ISM conditions. For M 1-20 and its comparison star, we list the DIB parameters for the entire interstellar absorption (with no assumption on the DIB profile; Table A.2).

<sup>5</sup> One-sigma detection limits for the EQWs in our spectra scale as  $\sim 1.064 \times FWHM/(S/N)$  (see, e.g., Hobbs et al. 2008).



**Fig. 1.** Na I D lines observed towards PN Tc 1 central star (black) and average of two positions in the nebula 2.7 arcsec away from the central star on either side (red) (from Williams et al. 2008). The dashed blue lines indicate the interstellar Na I D components at  $-6.8$  and  $+25 \text{ km s}^{-1}$ . The sharp emission close to  $0 \text{ km s}^{-1}$  radial velocity is earth’s airglow. The absorption spectrum is in the stellar continuum units, while the emission spectrum is in the nebular continuum units.

The PN IC 418 seems to show also a main Na I interstellar component at a radial velocity of  $+22.22 \text{ km s}^{-1}$  and a much weaker, not completely resolved component at  $\sim +5 \text{ km s}^{-1}$  (see Fig. 4 and Table A.6). Thus, the DIB parameters for IC 418 are representative of the most prominent interstellar component (Table A.3). In the comparison star HR 1890, two interstellar components for the atomic Na I (e.g., at  $+5.42$  and  $+23.75 \text{ km s}^{-1}$ ) are clearly resolved, suggesting that both stars could map slightly different ISM conditions. Anyway, we list the DIB parameters for the entire interstellar absorption in both stars. We note that HR 1890 displays a very low reddening of  $E(B - V) = 0.08$ , and only the strongest DIBs are clearly detected.



**Fig. 2.** Profiles of a selection of DIBs with various strengths and widths are displayed with respect to the heliocentric radial velocity. Weaker (or nearly equal) and stronger DIBs in Tc 1 (in black) relative to HR 6334 (in red) are displayed in the *left and right panels*, respectively. The profiles are shifted vertically for clarity. The CH, CH<sup>+</sup>, and Ca I profiles at the bottom show the two interstellar components at  $-6.8$  and  $+25$  km s<sup>-1</sup> (marked with blue vertical dotted lines) seen in both sources. The sharper DIBs in both Tc 1 and HR 6334 also show these two components. The DIBs displayed in the *left panel* are consistent with the lower reddening of  $E(BV) = 0.23$  for Tc 1 as compared to  $E(B - V) = 0.42$  for HR 6334. The *right panel*, however, shows that the carrier(s) of these DIBs are enhanced (for the given  $E(B - V)$ ) in the sight line to Tc 1.

We identified 20, 12, and 11 DIBs in Tc 1, M 1-20, and IC 418, respectively. All of these absorption bands are known DIBs, as previously reported by Hobbs et al. (2008). It should be noted here that we could not estimate the total absorption of the well studied 6993 and 7223 Å DIBs in our three PNe because of the strong meddling from the telluric lines. We also note that our radial velocity analysis in Tc 1 shows that the  $\sim 6309$  and  $6525$  Å absorption features reported by García-Hernández & Díaz-Luis (2013) should be identified as stellar He II absorption lines<sup>6</sup>. Their relative strengths (and widths) are consistent with the series of He II features that are clearly seen in our Tc 1 optical spectrum (e.g., at 6171, 6234, 6406, 6683, 6891, 7178, 8237, and 9345 Å).

### 3.1. Normal DIBs

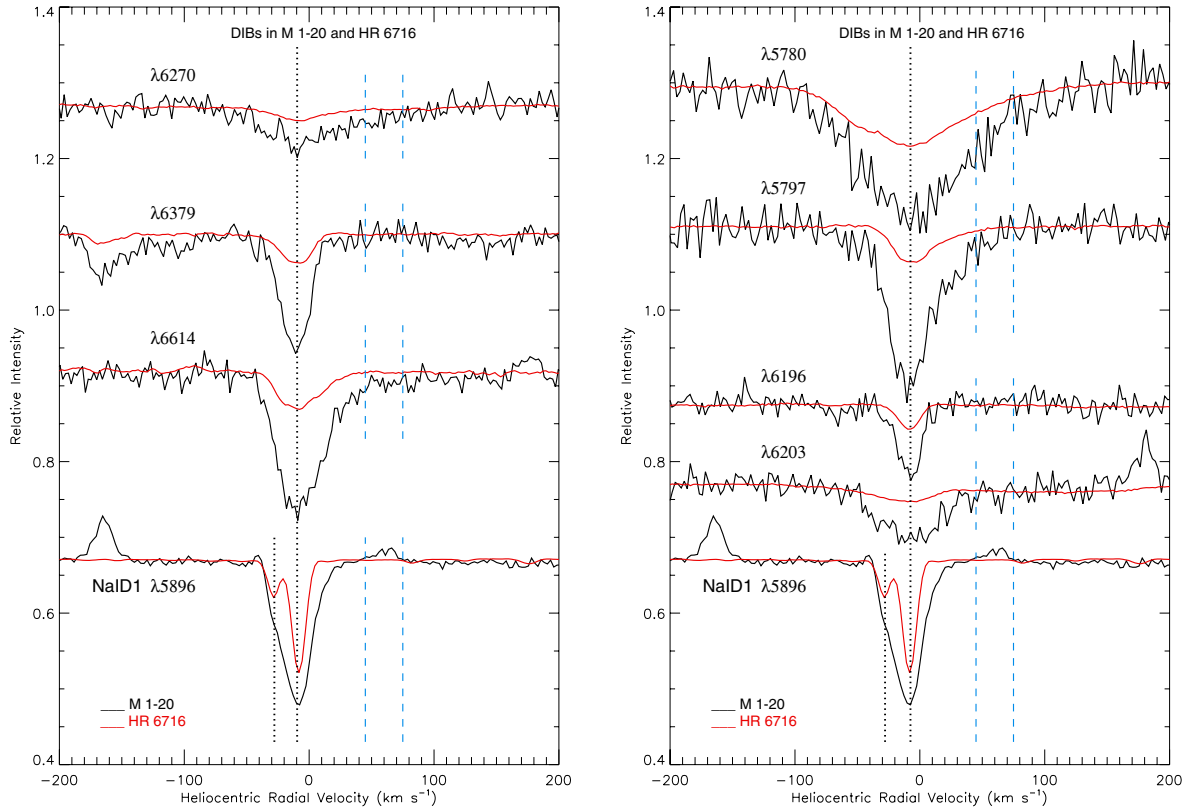
We concentrate here on those DIBs that seem to be normal for their reddening, the so-called “normal” DIBs. In Tc 1, the PN with the highest quality spectrum and a proper comparison star, their strengths are consistent with the  $E(B - V)$  value, and both PN and its comparison star display similar normalized equivalent widths (see Table A.1 and Fig. 2). There are fifteen normal DIBs in Tc 1: six of them are among the strongest DIBs most commonly found in the ISM (5797, 5850, 6196, 6270, 6379, and

6614 Å)<sup>7</sup>, while the other nine DIBs (5776, 6250, 6376, 6597, 6661, 6792, 7828, 7833, and 8038 Å) are weaker interstellar features already reported by Hobbs et al. (2008). The situation is less clear for the fullerene PNe M 1-20 and IC 418. This is because the comparison stars for both PNe seem to map slightly different ISM conditions (see above). The comparison star of IC 418 also displays a very low reddening that prevents detection of a significant number of DIBs. Despite this, the classification of the latter DIBs (if detected in our spectra) as “normal” DIBs holds here for M 1-20 and IC 418 (see below).

The strengths of the sextet of common DIBs (5797, 5850, 6196, 6270, 6379, and 6614 Å) are roughly consistent with the interstellar reddening in our three PNe. The EQW/ $E(B - V)$  ratio of these DIBs for the three PNe agree reasonably well with the values measured in their corresponding comparison stars (Tables A.1–A.3) or with the EQW/ $E(B - V)$  values observed in HD 204827 (Hobbs et al. 2008) and/or field-reddened stars (Luna et al. 2008). The 6196, 6376, 6379, and 6661 Å DIBs towards Tc 1 (and its comparison star HR 6334) display the two interstellar components at the same radial velocities in both objects (Table A.1 and Fig. 2). In M 1-20 and its comparison star HR 6716, we could measure only one main interstellar component for all DIBs (Table A.2 and Fig. 3). As in the case of

<sup>7</sup> The parameters for the 5797 and 5850 Å DIBs are more uncertain in Tc 1 because of their low intrinsic intensity and some contamination by nearby spectral features. For example, there is a strong stellar absorption line of C IV at 5799.84 Å and a nebular emission feature in the proximity of the 5850 Å DIB.

<sup>6</sup> These are the  $\sim 6310$  and  $\sim 6527$  Å stellar He II absorption lines, which are blue-shifted by  $\sim 90$  km s<sup>-1</sup> (the central’s star velocity) in Tc 1.



**Fig. 3.** Profiles of a selection of DIBs with various strengths and widths with respect to the heliocentric radial velocity. DIBs with similar and different profiles in M 1-20 (in black) relative to HR 6716 (in red) are displayed in the *left and right panels*, respectively. The profiles are shifted vertically for clarity. The Na I profiles at the bottom show the interstellar components (marked with black vertical lines) seen in M 1-20 and HR 6716. The DIBs displayed in the two panels are consistent with the higher reddening of  $E(BV) = 0.80$  for M 1-20 as compared to  $E(B - V) = 0.22$  for HR 6716. The stellar (and nebular) radial velocity range for M 1-20 is denoted by blue vertical lines.

M 1-20, all common DIBs towards IC 418 (and its comparison star HR 1890) only display one main interstellar component (Table A.3 and Fig. 4). We note, however, that only a few DIBs (five) are detected towards HR 1890 (Table A.3), and all DIBs are intrinsically very weak due to the very low reddening ( $E(B - V) = 0.08$ ) in the HR 1890 line of sight. Thus, Table A.3 also lists the EQW/ $E(B - V)$  values of HD 204827 (Hobbs et al. 2008) and field-reddened stars (Luna et al. 2008) for comparison with IC 418. The EQW/ $E(B - V)$  values of the sextet of common DIBs in IC 418 are similar to those in HD 204827 and field-reddened stars.

The measured intensities of the nine other “normal” DIBs (at  $\sim 5776, 6250, 6376, 6597, 6661, 6792, 7828, 7833,$  and  $8038 \text{ \AA}$ )<sup>8</sup> are also roughly consistent with the  $E(B - V)$  values in our three fullerene PNe. All these DIBs are detected in Tc 1. For the narrower 6196, 6376, 6379, and 6661  $\text{\AA}$  DIBs in Tc 1 and its comparison star, we also give the parameters for each one of the interstellar components mentioned above (Table A.1). In M 1-20, however, only two of these weak DIBs (6376 and 6661  $\text{\AA}$ ) are detected in our spectrum (Table A.2). In IC 418, we only detect the weak DIB at 6376  $\text{\AA}$  because the other weak DIBs are below our  $1\sigma$  detection limits.

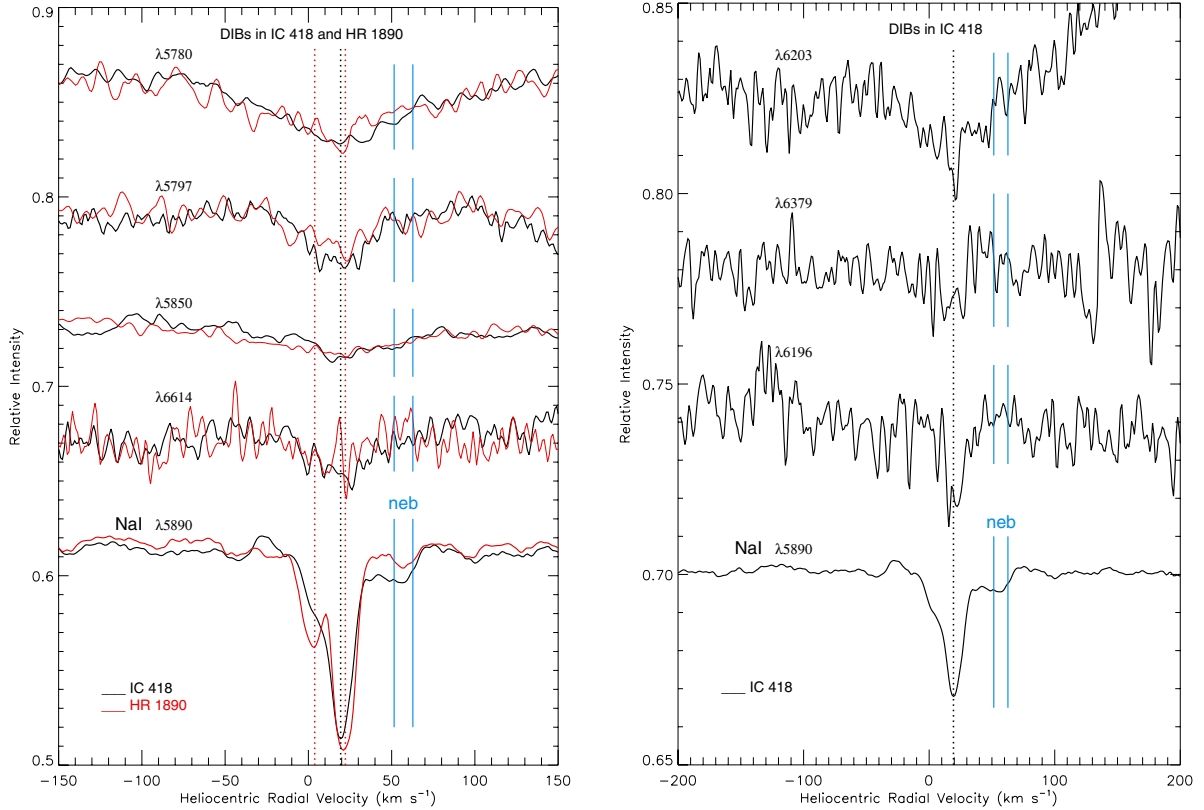
In short, the carriers of the so-called “normal” DIBs do not seem to be particularly over-abundant towards fullerene PNe, since they are consistent with those expected for the general diffuse ISM.

### 3.2. Unusually strong DIBs

Interestingly, some DIBs are found to be unusually strong in fullerene PNe. The five DIBs at  $\sim 4428, 5780, 6203, 6284,$  and  $8621 \text{ \AA}$  are unusually strong towards Tc 1. Their strengths in Tc 1 are higher than expected for the  $E(B - V)$  of 0.23; Tc 1 displays EQW/ $E(B - V)$  values higher than those in the comparison star HR 6334 (Table A.1 and Fig. 2). This is clearly shown in Fig. 5, where we plot the EQW/ $E(B - V)$  values of DIBs in Tc 1 versus HR 6334 (left panel) and those in the reference star HD 204827 versus HR 6334 (right panel). The EQW/ $E(B - V)$  values of DIBs in HR 6334 scale nicely with those in HD 204827 (the only exception is the 6284  $\text{\AA}$  DIB), suggesting similar ISM properties towards both stars. In Tc 1, however, the five unusually strong DIBs mentioned above clearly deviate from the linear relation followed by most of the DIBs that also scale well with those in HR 6334 (and HD 204827). The central radial velocity of these unusually strong interstellar features is the same in both Tc 1 and the comparison star (with the apparent exception of the 4428  $\text{\AA}$  feature; see Sect. 4), confirming their interstellar origin. In addition, the neutral molecular lines (CH, CN) are much weaker or absent towards Tc 1 (Fig. 2), indicating a higher degree of ionization. This may indicate that carriers of these DIBs (enhanced towards Tc 1) may be ionized species.

The situation is again less clear for the fullerene PNe M 1-20 and IC 418. It seems clear, however, that at least the  $\sim 4428 \text{ \AA}$  DIB is unusually strong in both PNe (see below), showing EQWs that are much higher than expected for their  $E(B - V)$  values.

<sup>8</sup> The parameters for the 5776  $\text{\AA}$  DIB are uncertain in Tc 1 because of its low intrinsic intensity and contamination by a nearby nebular emission feature.



**Fig. 4.** Profiles of a selection of DIBs with various strengths and widths are displayed with respect to the heliocentric radial velocity. Some normal and unusually strong DIBs in IC 418 are displayed (not in HR 1890 due to the low reddening of 0.08). The Na I profiles show the prominent component at  $22.22 \text{ km s}^{-1}$  (marked with a black vertical dotted line) in IC 418.

For the well-studied  $4428 \text{ \AA}$  DB we adopted a Lorentzian profile (Snow et al. 2002), obtaining EQWs of  $\sim 860$ ,  $2579$ , and  $1001 \text{ m\AA}$  for Tc 1, M 1-20, and IC 418, respectively. The  $4428 \text{ \AA}$  DB in Tc 1 and IC 418 is at least a factor of two greater than expected for their low reddening of  $E(B - V) = 0.23$  (see e.g., Fig. 6 and 15 in Snow et al. 2002 and van Loon et al. 2013, respectively), while this DB is  $\sim 1.5$  times more intense than expected in M 1-20.

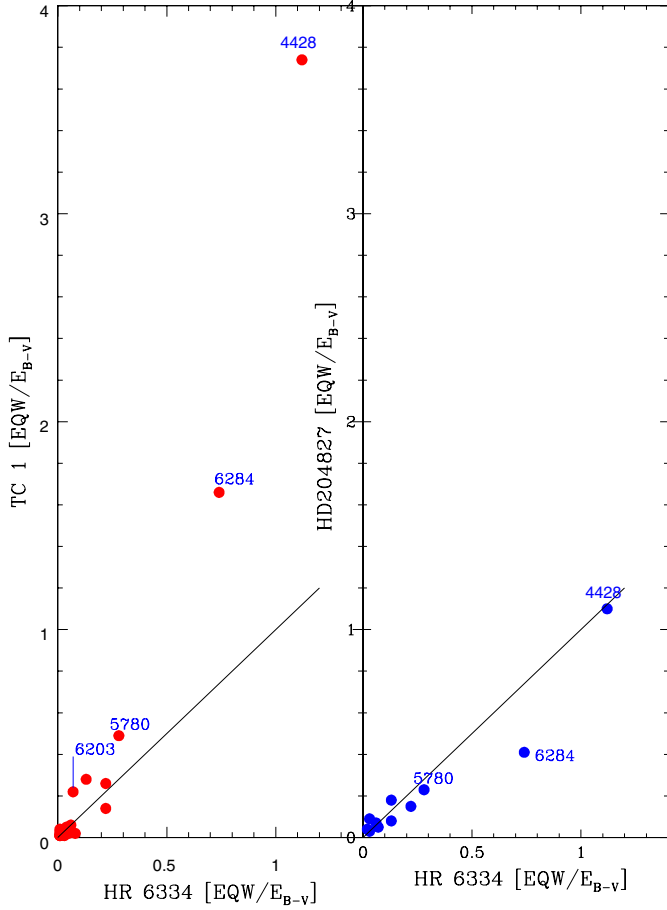
The DIB at  $5780 \text{ \AA}$  is another interesting feature that also could be an unusually strong DIB towards IC 418 (Table A.3). In IC 418, it is stronger ( $EQW/E(B - V) = 0.43$ ) than in the comparison star HR 1890 (with  $EQW/E(B - V) = 0.32$ ) and in the Hobbs et al. (2008) reference star HD 294827. However, it has a similar strength to field-reddened stars (Luna et al. 2008). Unfortunately, the DIBs at  $\sim 6203$  and  $6284 \text{ \AA}$  are not present in the low-reddening star HR 1890. Similar to the  $5780 \text{ \AA}$  DIB, these DIBs in IC 418 are stronger than in the star HD 294827 but of similar strength to those seen in the sample of field-reddened stars by Luna et al. (2008). Finally, our IC 418 optical spectra do not cover the spectral region around the  $8621 \text{ \AA}$  feature.

As mentioned above, the situation is also less clear for M 1-20. Indeed, the  $5780$  and  $6284 \text{ \AA}$  DIBs in M 1-20 are weaker than in HR 6716, while the  $6203 \text{ \AA}$  DIB is of equal strength in both sources. Apparently, the bands at  $5780$ ,  $6203$ , and  $6284 \text{ \AA}$  seem to be unusually strong towards both M 1-20 and HR 6716 (see Table A.2). As in the case of IC 418, these DIBs are stronger than in the reference star HD 294827 but similar to field-reddened stars. The most remarkable outcome is the complete lack of the  $8621 \text{ \AA}$  DIB towards M 1-20, which otherwise is very intense in the Tc 1 line of sight.

Finally, it is worth mentioning here that the DIB at  $\sim 6203 \text{ \AA}$  varies among the fullerene PNe in our sample. This DIB and the other band at  $6205 \text{ \AA}$  are usually measured as two distinct interstellar absorptions (e.g., corresponding to different carriers; see, e.g., Porceddu et al. 1991). The complex band at  $\sim 6203 \text{ \AA}$  is especially noteworthy, in which the  $EQW/E(B - V)$  ratio is two to three times higher for Tc 1 than for the comparison star HR 6334 and the reddened star HD 204827 (Hobbs et al. 2008). Towards IC 418, the  $6203 \text{ \AA}$  DIB is not very strong, although is not detected in the line of sight of the low-reddening comparison star HR 1890. The  $6203 \text{ \AA}$  DIB towards M 1-20 and its comparison star HR 6716 displays a similar  $EQW/E(B - V)$  ratio. Curiously, the secondary DIB at  $\sim 6205 \text{ \AA}$  is not clearly detected towards any of our sample PNe. This DIB is not easily recognized (resolved) from the dominant  $6203 \text{ \AA}$  DIB. Furthermore, we can find no evidence for the presence of the  $6205 \text{ \AA}$  interstellar absorption in IC 418 because it coincides with a strong nebular emission line.

#### 4. A search for diffuse circumstellar bands

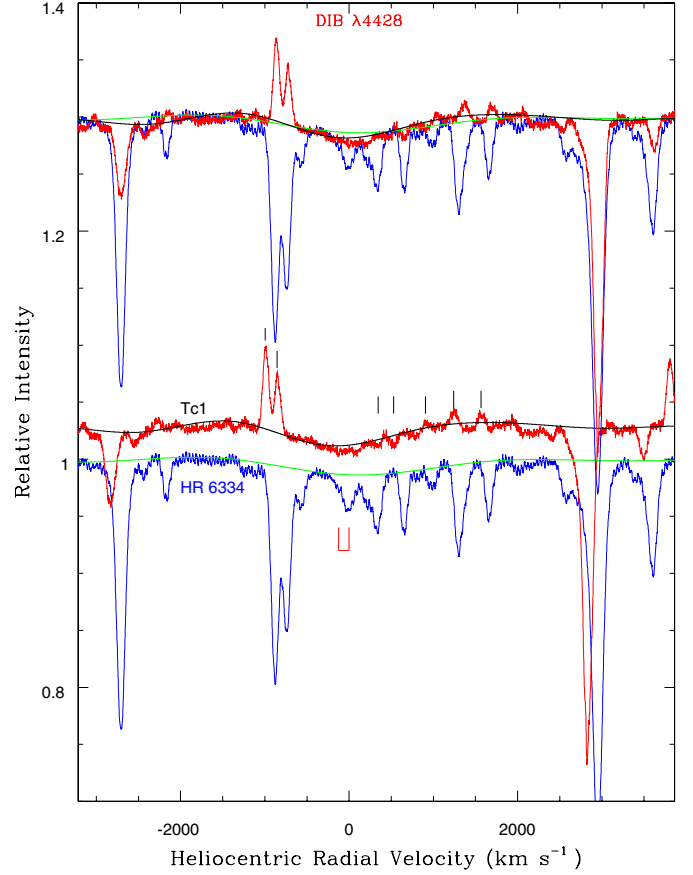
The detection of diffuse circumstellar bands (DCBs) is very difficult because high S/N spectra are mandatory for detecting the presumably much weaker circumstellar features. Also, the DCBs have to be distinguished from the DIBs, and this distinction can only be made by measuring the radial velocities of the circumstellar and interstellar components in the line of sight to our PNe. The S/N is too low in PN M 1-20, preventing any search for DCBs towards this object. A higher quality ( $S/N \sim 100\text{--}200$ ) optical spectrum was obtained for IC 418, but its relatively low



**Fig. 5.** Plots of  $EQW/E(B - V)$  of Tc 1 with respect to (w.r.t.) HR 6334 (*left panel*) and HD 204827 w.r.t. HR 6334 (*right panel*). The  $EQW/E(B - V)$  values of HR 6334 scale nicely w.r.t. HD 204827, which suggests that the properties of ISM are similar for DIBs towards the two stars. For Tc 1, most of the DIBs also scale well w.r.t. HR 6334 (and HD 204827) with the exception of the five unusually strong DIBs (those at  $\sim 4428$ ,  $5780$ ,  $6203$ ,  $6284$ , and  $8621$  Å; see text), which deviate from the linear relation.

radial velocity ( $\sim 58 \text{ km s}^{-1}$ ; Dinerstein et al. 1995) makes it difficult to distinguish possible DCBs from the DIBs. However, our high-quality ( $S/N > 300$ ) spectra for PN Tc 1, together with its higher radial velocity (in the range from  $-83$  to  $-130 \text{ km s}^{-1}$ ; Williams et al. 2008), may permit us to search for the possible presence of DCBs.

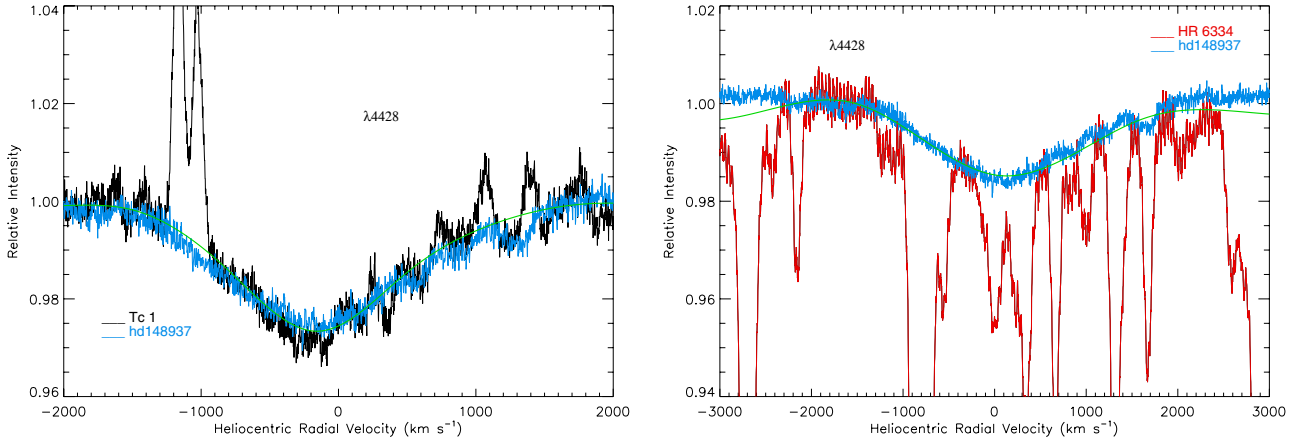
The nebular absorption lines in the line of sight to Tc 1 show heliocentric radial velocities in the range of  $-83$  to  $-130 \text{ km s}^{-1}$  (Williams et al. 2008), while the star's heliocentric radial velocity is measured as  $-90 \pm 12 \text{ km s}^{-1}$ . Any absorption/emission feature in the radial velocity range from  $-80$  to  $-130 \text{ km s}^{-1}$  is then expected to be related to the Tc 1's expanding circumstellar (nebular) gas. Indeed, the Na I D lines show two distinct absorption components at  $-83$  and  $-116 \text{ km s}^{-1}$  apart from the ISM components (see Fig. 1 and Table A.4). The  $5780$  Å DIB towards Tc 1 matches the velocity of the ISM, as expected (see Fig. 8). However, we find a (blue-shifted) very weak absorption feature (at the  $\sim 3$ -sigma level;  $EQW \sim 6.8 \text{ mÅ}$  and  $FWHM \sim 0.99$  Å) at the Tc 1 nebular velocity (centred at  $\sim -125 \text{ km s}^{-1}$  and indicated in Fig. 8), which is not present in the comparison star HR 6334 (see Fig. 2). There is no known DIB at this wavelength, and it does not correspond to any stellar line (too narrow) or telluric feature (not present in HR 6334). In addition, the velocity



**Fig. 6.** Profiles of the  $4428$  Å feature in Tc 1 (red) and in the comparison star HR 6334 (blue). The black and green profiles of the  $4428$  Å feature have been constructed by avoiding the stellar emission and absorption lines (marked by short black lines) and by fitting a high-degree polynomial function to the clearer regions. The minimum of the  $4428$  Å feature in HR 6334 occurs around  $0.0 \text{ km s}^{-1}$ , while it seems to be blue-shifted in Tc 1. At the top, the profiles of Tc 1 has been shifted by  $126 \text{ km s}^{-1}$  redwards and superposed on the HR 6334 profile to illustrate the apparent non-coincidence of the minima of the profiles in both stars.

separation is not in the right direction for another ISM cloud. This circumstellar absorption feature is narrower than the interstellar one, and the primary interstellar feature is broader owing to the contribution of at least two interstellar clouds (see above). The physical/chemical conditions in the Tc 1's circumstellar envelope are also expected to be different from those in the ISM, and the widths may not be necessarily the same. We note that there is a nebular emission counterpart (Fig. 8) of the very weak DCB around  $5780$  Å, and the presence of this nebular emission at the radial velocity of Tc 1 furthermore suggests its circumstellar origin (see below).

Curiously, the  $4428$  Å feature in Tc 1 seems to be blue-shifted (by  $\sim 126 \text{ km s}^{-1}$ ) relative to the one in HR 6334 (see Fig. 6). At the top of Fig. 6, the profiles of Tc 1 have been shifted by  $126 \text{ km s}^{-1}$  redwards and superposed on the HR 6334 profile to show the apparent difference in the profiles minima in both stars. It also aligns the photospheric lines of both stars, suggesting that the velocity of the  $4428$  Å absorption feature is close to the Tc 1's nebular velocity. In Fig. 7, the profiles (normalized on the EQW of the  $4428$  Å band) of Tc 1 (*left panel*) and HR 6334 (*right panel*) have been superposed on the profile of an O6.5 star (HD 148937). This O6.5 star has been displaced (in velocity) in both panels to match the  $4428$  Å profiles observed in both Tc 1



**Fig. 7.** Profiles of the 4428 Å feature in Tc 1 (*left panel*) and in the comparison star HR 6334 (*right panel*) superposed on the profile of the O6.5 star HD 148937. The O6.5 star has been displaced (in velocity) in both panels to make the 4428 Å band coincide with the profile and the minima of the 4428 Å band towards Tc 1 and HR 6334. All spectra have been normalized on the EQW of the 4428 Å band. The minimum of the feature in HR 6334 occurs around 0.0 km s<sup>-1</sup>, while it seems to be blue-shifted in Tc 1. The green profiles of the 4428 Å feature in Tc 1 and HR 6334 are the polynomial fits shown in Fig. 6. The superposed spectrum of an O6.5 star (in blue) support the non-coincidence of the profiles minima in Tc 1 and HR 6334.

and HR 6334. The 4428 Å profiles both in Tc 1 and HR 6334 match the one in the O6.5 star (HD 148937) as well as our polynomial fits (the green profiles in Fig. 7)<sup>9</sup>. This indicates that the minima of the 4428 Å feature in Tc 1 and HR 6334 are well determined. The minimum of the 4428 Å feature in HR 6334 occurs around 0.0 km s<sup>-1</sup>, while it is blue-shifted by ~126 km s<sup>-1</sup> in Tc 1. The blue shift could either be a result of vibrational-rotational structure of the carrier molecule or could be due to radial motions of the carriers. The velocity shift is about the same amount as the radial velocity of the circumstellar gas of Tc 1 (also the Na I D absorption components). A nebular emission feature is present at the wavelength corresponding to the blue shift of  $\lambda$ 4428 absorption feature (see below), which cannot be identified with any nebular line (see, e.g., Sharpee et al. 2003 for a complete compilation of nebular lines in PN IC 418 that displays an effective temperature that is almost identical to Tc 1). Thus, it seems likely that the apparent blue shift of the 4428 Å feature is real, indicating a circumstellar (nebular) nature for the carrier(s). Also, the ISM contribution to the 4428 Å feature in Tc 1 is expected to be a minor one; even smaller than the one in HR 6334.

If the material in and around the nebula (plus central star) is giving rise to the circumstellar absorption components in the sight line towards the star (as seen in Na I D and some DIBs), then the same material is expected to be seen in emission in the sight lines of the nebula away from the central star. We have investigated the Tc 1 nebular spectra obtained by Williams et al. (2008) and their spectroscopic observations in sight lines away from the central star indeed seem to confirm this expectation. The average spectrum of the nebula at two slit positions 2.7 arcsec away from the Tc 1 central star (Williams et al. 2008) – which samples the same *Spitzer* volume that revealed the fullerenes in Tc 1 – shows the Na I D lines in emission (also the Ca II K lines, but these are much weaker) at the radial velocity of the object (see Fig. 1 and Table A.1). The Na I D emission components have slightly more positive radial velocity (~10 km s<sup>-1</sup> with respect to the absorption lines), suggesting a

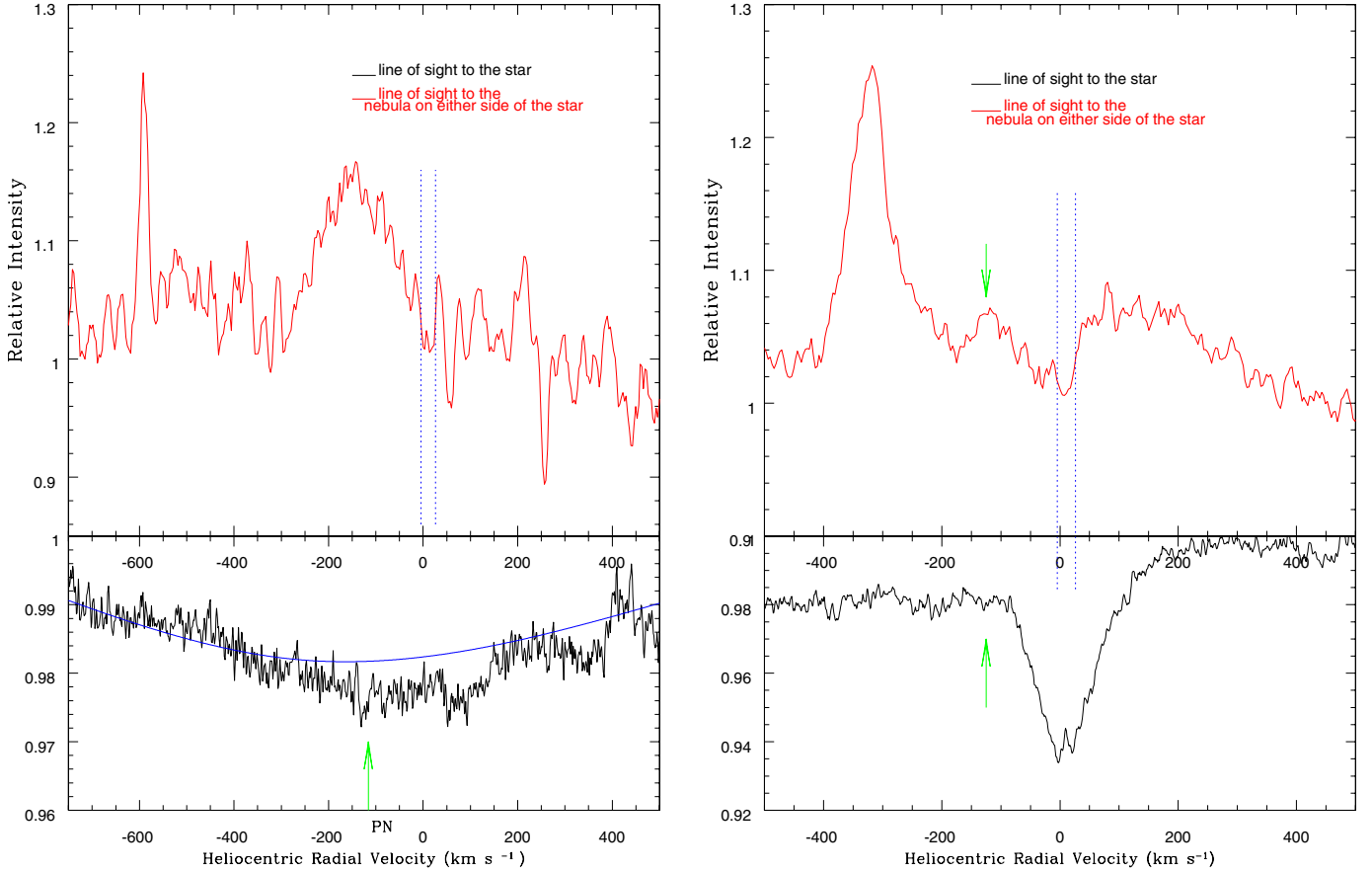
possible expansion velocity of -10 km s<sup>-1</sup> for the Na I gas. Such a correspondence of emission feature occurs with  $\lambda$ 4428 absorption. Similar emission corresponding to  $\lambda$ 5780 also seems to be present in the Tc 1 nebular spectrum. The presence of 4428 and 5780 Å nebular emission (see Fig. 8) at the radial velocity of Tc 1 furthermore suggests their circumstellar origin. The DCBs reported here are known to be among the strongest DIBs. The strong 4428 Å feature is known to correlate well with other DIBs like 5780 (e.g., van Loon et al. 2013). The 5780 Å DIB is also known to correlate with the broad 6284 Å feature in the ISM (see, e.g., Friedman et al. 2011) but might differ in circumstellar environments. Interestingly, the 6284 Å DIB is very strong in Tc 1 and might even be hiding a circumstellar absorption feature as well; in Fig. 2, there is evidence of some asymmetry in the 6284 Å DIB profile at the Tc 1's radial velocity range (from -83 to -130 km s<sup>-1</sup>).

The PN IC 418 also shows circumstellar (nebular) absorption components (although much weaker than in Tc 1) in the Na I D lines (Dinerstein et al. 1995; see also Fig. 4 and Table A.6). Indeed, the 5780 Å DIB towards IC 418 displays a tentative weak asymmetry (even weaker than towards Tc 1) at the nebular velocity of ~58 km s<sup>-1</sup>. Unfortunately, the 4428 Å feature is not seen in its (low reddening) comparison star HR 1890, and we could not properly check whether the profile minimum of this feature is red-shifted to the observed nebular velocity of IC 418. The comparison of the 4428 Å profile in IC 418 with the one in the O6.5 star HD 148937 displayed in Fig. 9 tentatively suggests that this feature in IC 418 could be slightly red-shifted with respect to the expected interstellar wavelength. However, the 4428 Å profile in IC 418 is not fully reproduced by the one in HD 148937, and the central wavelength of this feature is uncertain (i.e., more uncertain than in the case of Tc 1 above).

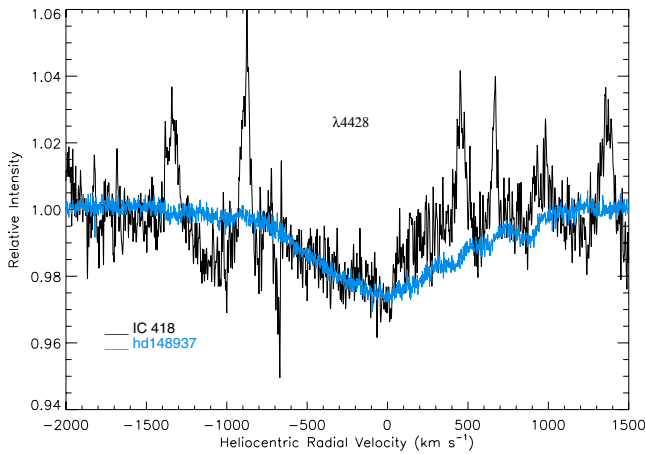
As mentioned above, the S/N in the M 1-20 spectrum is probably too low for detecting DCBs in this object. The heliocentric radial velocity of the nebula has been measured by us as ~61 km s<sup>-1</sup> (from a few He I nebular emission lines). This radial velocity is shown in Fig. 3. In our low S/N M 1-20 spectrum, there is no evidence of any DIB component close to this radial velocity.

<sup>9</sup> Despite the pollution by many lines in HR 6334, Fig. 7 shows that the 4428 Å profile in HR 6334 match our polynomial fit very well (the green profile in Fig. 7 (right panel)).





**Fig. 8.** Profiles of the broad 4428 Å band (*left panel*) and of the 5780 Å feature (*right panel*) towards Tc 1 central star (black) and average of two sight lines to the nebular position on either side of the nebula (from Williams et al. 2008). In both panels, the dashed blue lines mark the interstellar components at  $-6.8$  and  $+25$  km s<sup>-1</sup>. Note the coincidence in velocity (marked by green arrows) of the profile centre of the broad 4428 Å and of the weak 5780 Å circumstellar absorptions and the corresponding nebular emissions. The emission feature to the left of the 5780 Å nebular emission is unidentified.



**Fig. 9.** Profiles of the 4428 Å feature in IC 418 (in black) superposed on the profile of the O6.5 star HD 148937 (in blue). The O6.5 star has been displaced (in velocity) to try the 4428 Å band coincide with the profile and the minima of the 4428 Å band towards IC 418. Note that both spectra have been normalized on the EQW of the 4428 Å band. The 4428 Å profile in IC 418 is not fully reproduced by that in HD 148937 and its central wavelength is quite uncertain (see text).

Based on the EQW of the strong DIB at 5780 Å towards Tc 1 ( $EQW = 112.1$  mÅ) relative to the weak DCB around the same wavelength ( $EQW = 6.8$  mÅ), we can estimate

the S/N needed to detect this DCB in M 1-20 and IC 418. An  $EQW(DIB)/EQW(DCB)$  ratio of 16.5 is obtained for Tc 1. Adopting this value for the other PNe, one can estimate the expected EQW of the 5780 Å DCB;  $EQW(DCB)$  values of 20.1, and 6.1 mÅ are obtained for M 1-20 and IC 418, respectively. Then, when assuming the same FWHM (990 mÅ) as in Tc 1, the needed S/N for the DCB feature to be detected at three sigma can be obtained ( $EQW \sim 3 \times FWHM/(S/N)$ ; see Hobbs et al. 2008). We find that we would need  $S/N \sim 143$  and 491 for M 1-20 and IC 418, respectively. Thus, our non-detection of the DCBs in the latter PNe is due to the lower S/N in our spectra for both objects (see Tables A.2 and A.3).

In summary, the present data show that DCBs might not be uncommon in fullerene-containing PNe and suggest the first detection of two DCBs at 4428 and 5780 Å in the fullerene-rich circumstellar environment around the PN Tc 1. However, we prefer to be cautious until these possible DCB detections are confirmed in other PNe with fullerenes. The three fullerene-containing PNe in our sample display very weak circumstellar absorptions of Na I, and the intrinsic weakness of the DCBs (e.g., 5780 Å) is very likely related with the low column density of the gas (and dust) in their circumstellar envelopes. The strength of the 5780 Å circumstellar absorption in fullerene PNe is likely to be correlated with the circumstellar Na column density and the best PNe to unambiguously confirm that our detection of DCBs are those showing a strong Na I circumstellar absorption that is well

separated (i.e., at a very different radial velocity) from the Na I interstellar components.

## 5. Electronic transitions of neutral C<sub>60</sub> in fullerene PNe

The strongest allowed electronic transitions of neutral gas phase C<sub>60</sub> molecules, as measured in laboratory experiments, are located at 3760, 3980, and 4024 Å with widths of 8, 6, and 4 Å, respectively (Sassara et al. 2001; see also García-Hernández et al. 2012b). García-Hernández & Díaz-Luis (2013) found no evidence of these strong neutral C<sub>60</sub> optical bands in absorption (or emission) in the fullerene PN Tc 1.

The S/N in the M 1-20 optical spectrum is too low to search for neutral C<sub>60</sub> features in its spectrum (García-Hernández & Díaz-Luis 2013), but here we have searched the higher S/N (~100 in the continuum around 4000 Å) spectrum of the PN IC 418 for the strongest electronic transitions of neutral C<sub>60</sub> mentioned above. As in the case of Tc 1, we can find no evidence of neutral C<sub>60</sub> in absorption (or emission) around the expected wavelengths of ~3760, 3980, and 4024 Å. This is shown in Fig. 10 where we display the IC 418 velocity-corrected spectra around the most intense C<sub>60</sub> transitions in comparison with those of Tc 1 and its comparison star HR 6334. We note that several strong O lines and He I 4026 Å very likely prevent identification of any broad and weak absorption feature around 3760 and 4024 Å, respectively. However, there is no evidence of the neutral C<sub>60</sub> feature at 3980 Å, a wavelength region that is free of other spectral features.

The one-sigma detection limits on the EQWs derived from our IC 418 spectrum are 202, 75, and 44 mÅ for the 3760, 3980, and 4024 Å neutral C<sub>60</sub> transitions, respectively. By using the Spitzer formula ( $N \sim 10^{20} \times (EQW/(\lambda^2 \times f))$ ), where  $f$  is the oscillator strength of each C<sub>60</sub> transition (Sassara et al. 2001), this translates into column densities of  $\sim 2 \times 10^{13}$ ,  $4 \times 10^{13}$ , and  $2 \times 10^{13}$  cm<sup>-2</sup>. These column density limits are similar to those previously obtained in Tc 1 by García-Hernández & Díaz-Luis (2013). By following the latter work, we could in principle compare these column-density limits with estimates of the circumstellar density of C<sub>60</sub> molecules as derived from the IR C<sub>60</sub> emission bands.

Unfortunately, only two C<sub>60</sub> IR bands (those at ~17.4 and 18.9 μm) were covered by the IC 418 *Spitzer* spectrum, making the estimation of the number of C<sub>60</sub> molecules ( $N(C_{60})$ ) and excitation temperature ( $T(C_{60})$ ) from the Boltzmann excitation diagram rather uncertain. Such column-density estimates are very sensitive to  $T(C_{60})$ , and this temperature is very poorly constrained in IC 418 given that the short wavelength C<sub>60</sub> bands are not available and that the C<sub>60</sub> 17.4 μm band is blended with PAH emission. In addition, the derivation of the C<sub>60</sub> column density based on the IR-emission spectrum relies on the assumption that C<sub>60</sub> is at thermal equilibrium, and this is still an open question (see, e.g., Bernard-Salas et al. 2012).

## 6. A fullerene – diffuse band connection?

Most of the strongest and well-studied DIBs, as well as other weaker DIBs towards Tc 1, are found to be normal for its reddening. This indicates that the carriers of these “normal” DIBs are not particularly overabundant towards fullerene PNe. The exceptions are the DIBs at 4428, 5780, 6203, 6284, and 8621 Å, which are found to be unusually strong towards Tc 1. The radial velocities of the 5780, 6203, 6284, and 8621 Å features confirm their

interstellar origin, and the higher degree of ionization towards Tc 1 (in comparison with its comparison star) suggests that their carriers may be ionized species. The 4428 Å feature, however, seems to be centred at the Tc 1’s radial velocity, suggesting a circumstellar origin (see Sect. 4). The situation is less clear for M 1-20 and IC 418 because their comparison stars seem to map slightly different ISM conditions (see Sect. 3.2), but at least the 4428 Å feature is also found to be unusually strong in the latter fullerene PNe.

The unusually strong 4428 Å feature towards Tc 1 and M 1-20 prompted the idea that the 4428 Å carrier may be related to fullerenes or fullerene-based molecules (García-Hernández & Díaz-Luis 2013). Our new finding of an unusually strong 4428 Å feature towards IC 418 suggests that this may be a common characteristic of fullerene PNe, reinforcing the speculation of a possible fullerene-DIB connection.

Our detection of DCBs at 4428 and 5780 Å in an environment rich in fullerenes and fullerene-related molecules would inevitably provide a link between fullerene compounds and the DIB carriers. Photo-absorption theoretical models of several large fullerenes (such as C<sub>80</sub>, C<sub>240</sub>, C<sub>320</sub>, and C<sub>540</sub>) and multi-shell fullerenes (carbon onions like C<sub>60</sub>@C<sub>240</sub>, C<sub>60</sub>@C<sub>240</sub>@C<sub>540</sub>) predict their strongest optical (4000–7000 Å) transitions very close to 4428 and 5780 Å (Iglesias-Groth 2007), suggesting they are possible carriers.

We can estimate abundances of the carriers of the DCBs at 4428 and 5780 Å in PN Tc 1. For a Tc 1 carbon abundance of  $4.7 \times 10^{-4}$  (relative to H; e.g., García-Hernández et al. 2012a), the fraction of elemental carbon ( $f_C$ ) that is locked in the carrier molecule M can be expressed as (see, e.g., Tielens 2005; Cami 2014)

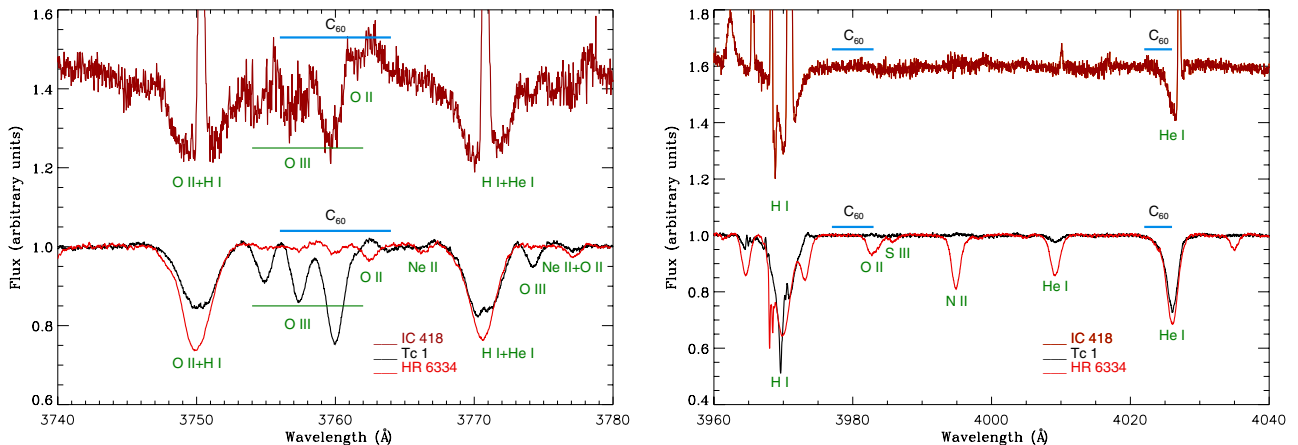
$$f_C \sim 9.93 \times 10^{-3} \times \frac{W_\lambda}{E_{B-V}} \times \frac{N_C}{60} \times \frac{5000^2}{\lambda^2} \times \frac{10^{-2}}{f}, \quad (1)$$

where  $W_\lambda/E_{B-V}$  is the equivalent width per reddening unit (in Å magnitude<sup>-1</sup>),  $N_C$  is the number of carbon atoms, and  $\lambda$  and  $f$  are the transition wavelength (in Å) and oscillator strength, respectively.

Assuming  $f = 0.01$  (Watson 1994; Weisman et al. 2003) and  $N_C \geq 60$  (as appropriate for large fullerenes and buckyonions), we find the well known result that the 4428 and 5780 Å carriers have to be very abundant or else they have larger oscillator strengths (Tielens 2005). For example,  $f_C \sim 0.06$ , 0.19, and 0.42 for C<sub>80</sub>, C<sub>240</sub>, and C<sub>540</sub>, respectively, if these fullerene species are considered to be the only carrier of the 4428 Å feature<sup>10</sup>. In Tc 1, the fraction of elemental carbon that is locked in C<sub>60</sub> is estimated to be  $\sim 4 \times 10^{-4}$  (as estimated from the IR emission; e.g., García-Hernández et al. 2012a). However, most fullerenes bigger than C<sub>60</sub> and multi-shell fullerenes (buckyonions) display strong transitions close to 4428 Å (Iglesias-Groth 2007). Thus, in the fullerene-DIB hypothesis, the broad 4428 Å feature would be the result of the superposition of the transitions of a series (family) of fullerenes bigger than C<sub>60</sub> and buckyonions, and each fullerene compound would contribute to the total EQW observed. Unfortunately, at present the possible relative contribution (e.g., in terms of FWHM and EQW) of these fullerene compounds to the 4428 Å feature is not known.

More interesting is that only C<sub>540</sub> and C<sub>60</sub>@C<sub>240</sub>@C<sub>540</sub> display a strong transition near 5780 Å (Iglesias-Groth 2007).

<sup>10</sup> Similar values are obtained for the 4428 Å feature in the other fullerene PNe M 1-20 and IC 418.



**Fig. 10.** Velocity-corrected spectra of IC 418 (in brown), Tc 1 (in black) and HR 6334 (in red) around 3760 Å (*left panel*) and 4000 Å (*right panel*) where the atomic line identifications are indicated (in green). The expected positions (and FWHMs) of the  $C_{60}$  features are indicated on top of the spectra. There is no evidence (additional absorption and/or emission) in IC 418 and Tc 1 for the neutral  $C_{60}$  features at 3760, 3980, and 4024 Å.

By considering the latter fullerene species (and using  $f = 0.01$ ) as the only carrier of the 5780 Å feature,  $f_C \sim 2 \times 10^{-3}$  and  $3 \times 10^{-3}$  are obtained for  $C_{540}$  and  $C_{60}@C_{240}@C_{540}$ , respectively. Thus, a greater oscillator strength (e.g.,  $f = 0.1$ ) for the  $C_{540}$  and  $C_{60}@C_{240}@C_{540}$  transitions at 5780 Å would decrease the latter estimates to levels similar to  $C_{60}$ . On the other hand, it is to be noted here that the  $C_{60}$  abundance estimation in Tc 1 (e.g., García-Hernández et al. 2012a) assumes a uniform  $C_{60}$  spatial distribution in the circumstellar shell. Bernard-Salas et al. (2012) present evidence that the  $C_{60}$  emission in Tc 1 is extended and peaks far away from the central star. If the  $C_{60}$  molecules in Tc 1 are indeed distributed in a ring (or in clumps) around the central star, then the quoted  $C_{60}$  abundance of  $\sim 4 \times 10^{-4}$  (relative to C; e.g., García-Hernández et al. 2012a) should be considered as a lower limit. This may increase the  $C_{60}$  abundance estimate (from the IR emission) in Tc 1 to values higher than the 5780 Å carrier/s abundance estimated here. In addition, it may explain the lack of the strongest optical electronic transitions of the  $C_{60}$  molecule in Tc 1 (and IC 418).

From the previous paragraphs, we conclude that at present large fullerenes and buckyonions cannot be completely discarded as possible carriers of the 4428 and 5780 Å features. However, another fullerene-related species should be considered as possible diffuse band carriers (see below).

Recent experimental studies demonstrate that fullerenes (and metallofullerenes) would react with polycyclic carbon, graphene-like structures, and PAHs, forming a rich family of fullerene-based molecules such as fullerene/PAH clusters and endohedral metallofullerenes (Dunk et al. 2013). These fullerene-related species may still be excited by the UV photons from the central star, emitting through the same IR vibrational modes as empty cages. Laboratory work shows that fullerene/PAH adducts (such as  $C_{60}$ /anthracene) can easily form via Diels-Alder cyclo-addition reactions, displaying mid-IR features strikingly coincident with those from neutral  $C_{60}$  and  $C_{70}$  (García-Hernández et al. 2013). In addition, gas-phase reactions between PAHs and  $C_{60}$  and  $C_{70}$  are experimentally proven to occur under circumstellar/interstellar conditions (Dunk et al. 2013), and the resulting reaction products (e.g.,  $C_{70}$ -PAH cluster ions like  $C_{70}C_{24}H_{10}^+$ ) are very stable. Metals such as Na (and Ca) are also quite abundant in the fullerene-rich circumstellar envelope of Tc 1 and metallofullerene (e.g.,  $Na@C_{60}$ ) formation is expected to be as efficient as empty fullerenes

(Dunk et al. 2013). Indeed, theoretical spectra of  $Na@C_{60}$  (Dunk et al. 2013) show the same four vibrational modes as neutral  $C_{60}$  (but with much higher absorption intensities), together with a new IR-active vibrational mode due to the metal encapsulation. Interestingly, the wavelength position of this new mode is quite close to the still unidentified  $\sim 6.4 \mu m$  feature observed in Tc 1 and other fullerene-rich PNe (Dunk et al. 2013; García-Hernández et al. 2010, 2011b, 2012a; Bernard-Salas et al. 2012).

Certainly, metallofullerenes are better diffuse band carrier candidates than fullerene/PAH adducts because the latter species are less stable towards UV radiation (see, e.g., Kroto & Jura 1992). In particular, adducts of  $C_{60}$  with linearly condensed PAHs (acenes such as anthracene, tetracene, and pentacene; e.g., García-Hernández et al. 2013) are not as stable as those with pericondensed PAHs (e.g., coronene); under the action of strong UV radiation (e.g., from the central star), the  $C_{60}$ /acene adducts may be dissociated back to the starting molecules. However, if the  $C_{60}$ /acene adducts are formed in a circumstellar region shielded from the UV radiation (or they are absorbed by dust particles), then they could survive in PNe circumstellar shells.

In short, fullerenes in their multifarious manifestations – buckyonions, fullerene clusters, fullerenes-PAHs, metallofullerenes, fullerene-like fragments or buckybowl structures – may help solve the long-standing astrophysical problem of the identification of some of the DIB carriers. Our detection of DCBs at 5780 and 4428 Å in Tc 1 may thus help to identify the carrier molecule(s), so more theoretical/laboratory work on fullerene-related molecules is encouraged.

## 7. Conclusions

We have searched DIBs in the optical spectra towards three fullerene-containing PNe (namely Tc 1, M 1-20, and IC 418). We have identified 20, 12, and 11 DIBs towards Tc 1, M 1-20, and IC 418, respectively. All of these absorption bands are known DIBs as previously reported in the literature.

Towards Tc 1, the PN with the highest S/N spectrum and a proper comparison star, six of the strongest and well-studied DIBs (i.e., those at 5797, 5850, 6196, 6270, 6379, and 6614 Å), and nine other weaker interstellar features (i.e., those at 5776, 6250, 6376, 6597, 6661, 6792, 7828, 7833, and 8038 Å) are found to be normal for its reddening. This indicates that the carriers of these “normal” DIBs are not particularly overabundant

towards fullerene PNe. The five DIBs at 4428, 5780, 6203, 6284, and 8621 Å are found to be unusually strong in the Tc 1 line of sight. The radial velocities of the 5780, 6203, 6284, and 8621 Å features confirm their interstellar origin, and the high ionization degree towards Tc 1 suggests that their carriers may be ionized species. The 4428 Å feature, however, seems to be centred at the Tc 1's radial velocity, suggesting a circumstellar origin.

The situation is less clear for the fullerenes PNe M 1-20 and IC 418, because their spectra are of lower quality than in Tc 1, and their comparison stars seem to map slightly different ISM conditions. In spite of this, the same classification scheme ("normal" versus "unusually strong" DIBs) seems to be applicable towards M 1-20 and IC 418. At least the 4428 Å feature is found to be unusually strong in these objects, as a common characteristic to fullerene PNe.

The Tc 1's high radial velocity permitted us to search its high-quality optical spectrum for DCBs. Interestingly, we report the first tentative detection of two DCBs at 4428 and 5780 Å in the fullerene-rich circumstellar environment around Tc 1. The presence of 4428 and 5780 Å nebular emission at the radial velocity of Tc 1 further suggests their circumstellar origin. The non-detection of DCBs in the other fullerene PNe is due to the low S/N in our optical spectra.

Moreover, we can find no evidence of the strongest electronic transitions of neutral C<sub>60</sub> in the IC 418 optical spectrum. The non-detection of neutral C<sub>60</sub> optical absorptions in fullerene PNe could be explained if the C<sub>60</sub> IR emission peaks far away from the central star. Mid-IR images at high spatial resolution and centred on the C<sub>60</sub> features would be desirable to understand the lack of the C<sub>60</sub> optical bands in fullerene-containing PNe.

We have estimated the abundances of the carriers of the DCBs at 4428 and 5780 Å, and we conclude that at present large fullerenes and buckyonions cannot be completely discarded as possible carriers of the 4428 and 5780 Å features.

On the basis of detecting DCBs at 4428 and 5780 Å in Tc 1, we suggest that laboratory and theoretical studies of fullerenes in their multifarious manifestations – buckyonions, fullerene clusters, fullerenes-PAHs, metallofullerenes, fullerene-like fragments or bucky bowl structures – may help solve the astronomical mystery of the identification of some of the DIB carriers.

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## Appendix A

Table A.1. Diffuse interstellar bands in Tc 1 and HR 6334.

Tc 1					HR 6334						
$\lambda_c$ (Å)	Components (Å)	<i>FWHM</i> (Å)	<i>EQW</i> (mÅ)	<i>S/N</i>	<i>EQW/E<sub>B-V</sub></i> (Å/mag)	$\lambda_c$ (Å)	Components (Å)	<i>FWHM</i> (Å)	<i>EQW</i> (mÅ)	<i>S/N</i>	<i>EQW/E<sub>B-V</sub></i> (Å/mag)
4427.51 <sup>a,b</sup>	...	19.35	860.0	403	3.74	4429.71	...	23.42	470.2	391	1.12
5776.22	...	1.14	7.2	402	0.03	5776.11	...	1.21	5.3	535	0.01
5780.65 <sup>b</sup>	...	2.02	112.1	420	0.49	5780.59	...	2.17	119.0	586	0.28
5797.21	...	0.82	25.7	536	0.11	5797.19	...	1.15	45.7	590	0.11
5849.74	...	0.89	2.2	437	0.01	5849.74	...	0.76	12.7	536	0.03
6196	6196.06 <sup>c</sup>	1.11	10.7	525	0.05	6196	6196.05 <sup>c</sup>	0.96	16.0	692	0.04
	6195.88	0.48	6.0		0.03		6195.89	0.40	10.4		0.02
	6196.54	0.39	2.6		0.01		6196.56	0.36	4.3		0.01
6203.33	...	2.03	36.7	560	0.16	6203.17	...	1.28	29.1	758	0.07
6250.36	...	1.74	8.7	610	0.04	6250.62	...	2.45	14.7	727	0.03
6270.08	...	1.66	15.0	618	0.06	6270	6269.98	1.47	25.8	494	0.06
6284.18	...	4.41	630	380	1.66	6284.15	...	4.55	309.8	693	0.74
6376	6376.22 <sup>c</sup>	1.38	2.6	559	0.01	6376	6376.40 <sup>c</sup>	1.36	12.7	612	0.03
	6376.05	0.57	1.7		0.01		6375.92	0.41	3.6		0.01
	6376.76	0.48	1.6		0.01		6376.65	0.76	6.4		0.01
6379	6379.41 <sup>c</sup>	1.13	8.7	625	0.04	6379	6379.47 <sup>c</sup>	1.26	56.4	812	0.13
	6379.14	0.56	4.9		0.02		6379.14	0.46	21.0		0.05
	6379.82	0.93	4.7		0.02		6379.78	1.08	35.4		0.08
6597.18	...	0.48	4.1	529	0.02	6597.14	...	0.45	5.0	338	0.01
6613.77	...	1.47	32.5	432	0.14	6613.74	...	1.40	91.8	464	0.22
6661	6661.09 <sup>c</sup>	1.12	3.5	341	0.01	6661	6660.81 <sup>c</sup>	1.09	22.8	337	0.05
	6660.64	0.64	2.5		0.01		6660.61	0.54	14.4		0.03
	6661.40	0.24	1.9		0.01		6661.35	0.30	5.4		0.01
6792.22	...	1.16	12.6	360	0.05	6792.07	...	1.10	15.2	329	0.04
7828.75	...	1.49	8.8	382	0.04	7828.45	...	1.07	6.1	572	0.01
7832.50	...	1.76	10.3	475	0.04	7832.63	...	2.11	14.5	636	0.03
8038.14	...	0.96	5.4	396	0.02	8038.01	...	0.81	7.0	460	0.02
8621.12	...	4.52	63.4	348	0.28	8621.15	...	4.50	51.7	626	0.12

**Notes.** The  $3\sigma$  errors in the EQWs scale as  $\sim 3 \times FWHM/(S/N)$ , while we estimate that the FWHMs in Tc 1 are precise to the 0.03 Å level (less for M 1-20 and IC 418). <sup>(a)</sup> The parameters of this DIB are estimated by adopting a Lorentzian profile (see, e.g., Snow et al. 2002). <sup>(b)</sup> Circumstellar absorption features (or diffuse circumstellar bands) may be possibly detected at these wavelengths (see Sect. 4). <sup>(c)</sup> Undeblended DIB.

Table A.2. Diffuse interstellar bands in M 1-20 and HR 6716.

M 1-20					HR 6716				
$\lambda_c$ (Å)	<i>FWHM</i> (Å)	<i>EQW</i> (mÅ)	<i>S/N</i>	<i>EQW/E<sub>B-V</sub></i> (Å/mag)	$\lambda_c$ (Å)	<i>FWHM</i> (Å)	<i>EQW</i> (mÅ)	<i>S/N</i>	<i>EQW/E<sub>B-V</sub></i> (Å/mag)
4426.56 <sup>a</sup>	19.94 <sup>b</sup>	2579.0 <sup>b</sup>	20 <sup>c</sup>	3.22	4429.27	22.25	595.8	233	2.71
5780.44	1.93	361.1	36	0.45	5780.41	2.01	164.5	792	0.75
5796.97	0.78	153.4	39	0.19	5796.95	0.74	37.2	661	0.17
5849.69	0.95	70.7	52	0.09	5849.69	0.84	9.3	639	0.04
6195.83	0.43	44.3	71	0.05	6195.82	0.41	13.2	612	0.06
6203.00	1.39	97.7	77	0.12	6203.02	1.25	28.9	688	0.13
6269.89	1.51	89.2	67	0.11	6269.70	1.02	12.4	684	0.06
6283.60	4.06	573.0	73	0.72	6283.51	4.29	474.4	641	2.16
6375.94	0.51	26.3	75	0.03	6375.89	0.65	6.3	656	0.03
6379.14	0.59	80.6	100	0.10	6379.12	0.63	22.5	687	0.10
6613.48	1.01	177.0	79	0.22	6613.41	0.86	37.5	581	0.17
6660.52	0.59	27.5	74	0.03	6660.55	0.41	5.0	531	0.02

**Notes.** The  $3\sigma$  errors in the EQWs scale as  $\sim 3 \times FWHM/(S/N)$ , while the FWHMs are less precise than 0.03 Å. <sup>(a)</sup> The parameters of this DIB are estimated by adopting a Lorentzian profile (see, e.g., Snow et al. 2002). The central wavelength in M 1-20 is very uncertain. <sup>(b)</sup> Best estimates found by clipping out the narrow emission lines and smoothing the spectrum with boxcar 15. The error in the quoted EQW is estimated to be  $\sim 786$  mÅ. <sup>(c)</sup> S/N in the original spectrum.

**Table A.3.** Diffuse interstellar bands in IC 418 and HR 1890.

IC 418					HR 1890					Hobbs et al. (2008)	Luna et al. (2008)
$\lambda_c$	<i>FWHM</i>	<i>EQW</i>	<i>S/N</i>	<i>EQW/E<sub>B-V</sub></i>	$\lambda_c$	<i>FWHM</i>	<i>EQW</i>	<i>S/N</i>	<i>EQW/E<sub>B-V</sub></i>	<i>EQW/E<sub>B-V</sub></i>	<i>EQW/E<sub>B-V</sub></i>
(Å)	(Å)	(mÅ)		(Å/mag)	(Å)	(Å)	(mÅ)		(Å/mag)	(Å/mag)	(Å/mag)
4426.20 <sup>a</sup>	21.45	1001.0	116	4.35	...	...	...	...	...	1.10	...
5780.94	2.02	99.8	195	0.43	5780.97	1.68	25.5	344	0.32	0.23	0.46
5797.51	0.85	34.8	184	0.15	5797.46	1.07	8.4	336	0.10	0.18	0.17
5850.55	1.15	30.9	175	0.13	5850.44	1.03	7.1	351	0.09	0.09	0.061
6196.47	0.31	10.0	166	0.04	6196.27	0.29	2.9	343	0.04	0.03	0.053
6203.37	0.80	15.3	171	0.07	...	...	...	...	...	0.05	...
6270.24	1.08	15.7	183	0.07	...	...	...	...	...	0.07	...
6284.30	4.88	218.8	183	0.95	...	...	...	...	...	0.41	0.90
6376.41	0.35	2.9	137	0.01	...	...	...	...	...	0.04	...
6379.94	0.43	5.2	132	0.02	...	...	...	...	...	0.08	0.088
6614.11	1.04	30.8	146	0.13	6614.20	0.91	6.6	364	0.08	0.15	0.21

**Notes.** The  $3\sigma$  errors in the EQWs scale as  $\sim 3 \times FWHM/(S/N)$ , while the FWHMs are less precise than  $0.03 \text{ \AA}$ . <sup>(a)</sup> The parameters of this DIB are estimated by adopting a Lorentzian profile (see, e.g., Snow et al. 2002). The quoted central wavelength in IC 418 is quite uncertain.

**Table A.4.** Radial velocities (in  $\text{km s}^{-1}$ ) for the atomic and molecular lines as well as DIB features with two interstellar components in Tc 1 and HR 6334.

Feature	Tc 1		HR 6334	
	CS	IS	IS	IS
Na I	-116.10	-83.00	-4.67	26.36
Ca I			-7.16	22.98
CH <sup>+</sup>			-6.87	25.50
CH				-2.83
CN (R1)				31.24
CN (R0)				-5.86
CN (P1)				27.96
DIB 6196 Å				-5.34
DIB 6376 Å				(42)
DIB 6379 Å				-4.57
				29.25
				-4.18
				31.02
				-8.03
				25.11
				-5.42
				27.68
				-3.86
				30.00
				-7.05
				33.34
				-6.58
				23.97
				-5.78
				29.18

**Notes.** Typical uncertainty of  $\sim \pm 1 \text{ km s}^{-1}$ .

**Table A.5.** Radial velocities (in  $\text{km s}^{-1}$ ) for the atomic lines as well as DIB features in M 1-20 and HR 6716.

Feature	M 1-20		HR 6716	
	CS	IS	IS	IS
Na I	60.95	-6.54	-26.43	-6.12
DIB 5797 Å		-5.19		-5.33
DIB 6196 Å		-7.85		-7.88
DIB 6379 Å		-8.63		-9.04

**Notes.** Typical uncertainty of  $\sim \pm 1 \text{ km s}^{-1}$ .

**Table A.6.** Radial velocities (in  $\text{km s}^{-1}$ ) for the atomic and molecular lines, as well as DIB features in IC 418 and HR 1890.

Feature	IC 418		HR 1890	
	CS	IS	IS	IS
Na I	58.36	22.22	5.42	23.75
CH		22.67		
DIB 5780 Å		25.34		23.33
DIB 5797 Å		22.16		22.15
DIB 5850 Å		25.60		30.97

**Notes.** Typical uncertainty of  $\sim \pm 1 \text{ km s}^{-1}$ .