

SPIRITS: Uncovering Unusual Infrared Transients with Spitzer

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We present an ongoing, five-year systematic search for extragalactic infrared transients, dubbed SPIRITS—SPitzer InfraRed Intensive Transients Survey. In the first year, using *Spitzer*/IRAC, we searched 190 nearby galaxies with cadence baselines of one month and six months. We discovered over 1958 variables and 43 transients. Here, we describe the survey design and highlight 14 unusual infrared transients with no optical counterparts to deep limits, which we refer to as SPRITEs (eSPecially Red Intermediate-luminosity Transient Events). SPRITEs are in the infrared luminosity gap between novae and supernovae, with [4.5] absolute magnitudes between -11 and -14 (Vega-mag) and [3.6]–[4.5] colors between 0.3 mag and 1.6 mag. The photometric evolution of SPRITEs is diverse, ranging from $<0.1 \text{ mag yr}^{-1}$ to $>7 \text{ mag yr}^{-1}$. SPRITEs occur in star-forming galaxies. We present an indepth study of one of them, SPIRITS 14ajc in Messier 83, which shows shock-excited molecular hydrogen emission. This shock may have been triggered by the dynamic decay of a non-hierarchical system of massive stars that led to either the formation of a binary or a protostellar merger.

Key words: infrared: general – novae, cataclysmic variables – stars: AGB and post-AGB – stars: mass-loss – supernovae: general – surveys

Supporting material: machine-readable tables

1. Introduction

The systematic study of explosive transients and eruptive variables is growing by leaps and bounds especially with the advent of wide-field synoptic imaging. Recently, multiple new classes of optical transients (e.g., Kasliwal 2012) and radio transients (e.g., Thornton et al. 2013) have been uncovered. However, the dynamic infrared (IR) sky is hitherto largely unexplored.

While the optical is a powerful band to explore supernovae and novae, it is blind to transients and eruptive variables that are either self-obscured or located in dusty regions (e.g., molecular clouds). IR follow-up of optically discovered transients shows that IR emission dominates in supernovae with circumstellar interaction, particularly at late times (Fox et al. 2011, 2013). We are now aware of at least two new classes of explosive transients where the bulk of the emission is in the IR—stellar mergers (associated with luminous red novae, e.g., V1309 Sco; Tylenda et al. 2011) and electron-capture supernovae (eCSNe; associated with intermediate-luminosity red transients, e.g., NGC300-OT; Bond et al. 2009, SN 2008S; Prieto et al. 2008).

Some efforts have been undertaken to look for IR transients and variables with the *Spitzer* Space Telescope (Werner et al. 2004;

Table 1SPIRITS Sample of Galaxies

Name	PGC Number	R.A. (J2000)	Decl. (J2000)
WLM	PGC143	00 ^h 01 ^m 58 ^s 510	-15°27′41″00
NGC0147	PGC2004	00h33m11.989	+48°30′30″99
NGC0185	PGC2329	00 ^h 38 ^m 57 ^s 949	+48°20′14″.70
MESSIER110	PGC2429	00h40m22s300	+41°41′08″90
MESSIER032	PGC2555	00h42m41s830	$+40^{\circ}51'57.''60$
NGC0247	PGC2758	00h47m08s560	$-20^{\circ}45'37.''40$
NGC0253	PGC2789	00h47m33s120	-25°17′17″59

(This table is available in its entirety in machine-readable form.)

Gehrz et al. 2007). A blind search for IR transients in repeated imaging of the Bootes field revealed a superluminous supernova (Kozłowski et al. 2010). Searches targeting nearby star-forming regions in the Milky Way have shown a plethora of young star variability (Cody et al. 2014; Rebull et al. 2014). Searches for variable, obscured asymptotic giant branch stars in nearby galaxies is being undertaken by the DUSTiNGS survey (Boyer et al. 2015). Searches for obscured supernovae in starburst galaxies, using *Spitzer* (Fox et al. 2012), *HST* (Cresci et al. 2007), and high-resolution ground-based adaptive optics imaging (e.g., Mattila et al. 2007), have revealed a few candidates (Kankare et al. 2008, 2012).

Thus motivated, we began a systematic search for mid-IR transients in nearby galaxies, dubbed SPIRITS (SPitzer InfraRed Intensive Transients Survey). SPIRITS is a five-year survey from 2014 to 2018 (Kasliwal et al. 2013, 2014a, 2016). Here, we present the experiment design (Section 2), the software pipelines (Section 3), the discoveries in the first year (Section 4) and a case-study (Section 5). We conclude with reflections on a possible way forward to chart the dynamic IR sky (Section 6).

2. Experiment Design

2.1. Galaxy Sample, Cadence, and Depth

The SPIRITS survey uses the IRAC instrument (FoV 5' × 5'; Fazio et al. 2004) on board the warm *Spitzer* telescope to search for IR transients at 3.6 μ m ([3.6]) and 4.5 μ m ([4.5]). This is a search targeting 190 nearby galaxies selected using three criteria. (1) The 37 galaxies out to 5 Mpc spanning diverse galaxy environments: early-type galaxies, late-type galaxies, dwarf galaxies, and giant galaxies. (2) The 116 luminous galaxies between 5 Mpc and 15 Mpc. Our sample of galaxies captures a total of $2.0 \times 10^{12} L_{\odot}$ in the B-band within 15 Mpc. This is 83% of the total B-band starlight within the <15 Mpc volume. (3) The 37 most luminous and most massive galaxies in the Virgo Cluster (17 Mpc). These galaxies total $1.6 \times 10^{12} L_{\odot}$, i.e., 66% of the total B-band luminosity of the cluster. Furthermore, these galaxies total $9.1 \times 10^{11} M_{\odot}$, i.e., 71% of the total stellar mass of the cluster.

Table 1 gives the list of galaxies in the SPIRITS sample. In 2015 and 2016, we added a few star-forming regions in galaxies too large to map with IRAC otherwise (Kasliwal et al. 2014a). In 2017 and 2018, we down-sized the sample to focus on the most luminous and most massive galaxies (Kasliwal et al. 2016).

In 2014, each of these galaxies was imaged three times by SPIRITS, with cadence baselines of 1 month and 6 months. In 2015 and 2016, additional shorter cadence baselines of 1 week



Figure 1. Histogram of cadence baselines for all galaxy pairs in the SPIRITS survey, both within the survey (green) and relative to the Spitzer Heritage Archive (blue).

and 3 weeks were added. Archival data provide us with additional multi-year baselines. A histogram of cadence baselines from 2014–2016, both within the survey and relative to the Spitzer Heritage Archive, is shown in Figure 1.

Each SPIRITS pointing is seven dithered 100 s exposures in each IRAC filter. The limiting magnitude (as defined for a 5σ point-source Vega magnitude) in each SPIRITS epoch is 20 mag at [3.6] and 19.1 mag at [4.5]. This gives us a [3.6] depth of up to -8.5 mag at 5 Mpc and up to -11.5 mag at 20 Mpc.

2.2. Follow-up Ground-based Observations

We are undertaking concomitant ground-based surveys to monitor the SPIRITS galaxy sample in the near-IR and the optical at roughly a monthly cadence. At the University of Minnesota's Mt. Lemmon Observing Facility (MLOF), we use the three-channel Two Micron All Sky Survey cameras (Milligan et al. 1996; Skrutskie et al. 2006) mounted on the 1.52 m IR telescope (Low et al. 2007). At Las Campanas, we undertake near-IR monitoring with the Retrocam on Dupont 100 inch telescope and optical monitoring using the CCD on the Swope 40 inch telescope. At Palomar, we use the Samuel Oschin 48 inch (primarily gr-band; Law et al. 2009) and Palomar 60 inch telescopes (gri-bands; Cenko et al. 2006) for optical monitoring. Using the LCOGT network (Brown et al. 2013), we obtain additional optical monitoring in gribands. In addition, a follow-up of discovered transients was undertaken by a myriad of facilities including Keck, Magellan, Palomar 200 inch, SALT, and RATIR.

2.3. Follow-up with the Hubble Space Telescope

Following non-detections from the ground, we were able to set even deeper magnitude limits for two transients based on a small *HST* Director's Discretionary program (GO/DD-13935, PI H. Bond). We imaged SPIRITS 14aje (in M101) and SPIRITS 14axa (in M81) with the Wide Field Camera 3 (WFC3) in 2014 September. In the WFC3 UVIS channel, we employed an "*T*" filter (F814W), and in the IR channel we used "*J*" (F110W) and "*H*" (F160W) bandpasses. The sites of both targets had been observed with *HST* before their outbursts, making it possible for us to compare our new images with the archival data. We registered the *Spitzer* frames with the *HST* frames by measuring the positions of isolated stars detected in both images, allowing the sites of the transients to be located in the *HST* images to precisions of typically $0.1^{\prime\prime}$ (see more details in H. Bond et al. 2017, in preparation).

3. Software Pipelines

3.1. Image Subtraction and Source Catalogs

We construct reference images using archival Spitzer imaging using supermosaics or S4G stacks (Sheth et al. 2010; where supermosaics were unavailable) or stacking prior "bcd" observations in the archive (where neither supermosaics, nor S4G stacks were available). We use the "maic" products of the Spitzer-IRAC pipeline as our starting point for difference imaging. We adapted an image differencing and transient-source extraction code developed for the Palomar Transient Factory (PTFIDE²⁵; Masci et al. 2017) to Spitzer imaging. The changes made to this software were (1) ability to operate on co-adds of individual IRAC exposures; (2) masking of co-add image regions with depths <5exposures to mitigate cosmic rays and detector glitches; (3) execution of the SExtractor tool (Bertin and Arnouts, 1996) to extract transient candidates from the difference images (as opposed to PSF-fitting for PTF); (4) omission of dynamic photometric-gain matching between reference and science-image co-adds; and (5) a more streamlined and simpler PSF-matching scheme between images. Updates (4) and (5) take advantage of the stable thermal environment of the Spitzer telescope. Examples of discovery image triplets are shown in Figure 2.

One may expect difference imaging from space to yield fewer false positives than from a ground observatory, where atmospheric conditions continuously modify the PSF between observations; however, we found this generally not to be the case with Spitzer (in part, due to the sparsely sampled PSF). The Spitzer difference imaging is prone to a large number of false positives, especially when the Spitzer field-of-view had a large rotation between the reference and science-image epochs. The Spitzer-IRAC PSF profiles follow the fixed detector/ optical diffraction patterns and these could not be easily matched and subtracted between rotated apparitions of the same piece of sky. Each of our candidates are visually vetted, and we require at least two detections (in two filters or at two epochs) to weed out false positives. However, we caution that our search is incomplete and any inferred rates of transients are likely lower limits. A detailed rate study is outside the scope of this paper.

3.2. Forced Photometry

We define a source to be transient if there is no detected quiescent point source underneath the source location in the reference frame (else, the source is a variable). To obtain magnitudes for transient sources, we perform forced aperture photometry on the subtracted images, assuming zero flux present in the reference image. We sum the flux in an aperture with radius 4-pixels (2".4) centered at the R.A. and decl. coordinates determined by our transient detection routines. Sky background is measured within an annulus from 8 to 16 pixels surrounding each source and subtracted from the total flux. Finally, fluxes are converted to magnitudes using the Warm *Spitzer*/IRAC zero points of 18.8024 (channel 1) and 18.3174 (channel 2), along with aperture corrections of 1.21 and 1.22, respectively, as specified by the IRAC instrument handbook. Since the subtraction images are noisy, we conservatively set the detection and upper limit threshold at 9σ .

3.3. Database and Dynamic Web Portal

We architected a postgresql database to ingest the differenceimaging products. The search for transients is undertaken via a dynamic web portal. In 2014, Spitzer data was released every two weeks (the time-lag has now been reduced to only a few days). Once the data is released, the SIDE pipeline is promptly run. Team members are assigned galaxies to look through candidate metadata and postage stamps to visually vet and flag interesting transients, typically within one day of data release. These transients are then assigned a name in sequential order by the database. For example, in the first year, a total of 131722 candidates (on 4396 new and archival subtraction images) were automatically loaded into our subtraction database. We undertake some automatic filtering of artifacts based on the PSF shape (specifically, full width at half maximum <7 pixels, ratio of semimajor to semiminor axis <2.5) and number of negative/ bad pixels in the subtraction image (specifically, <61 negative pixels and <10 bad pixels in a 11×11 box around the candidate) before team members are presented candidates. In the first year, only 1693 sources were assigned names and flagged for further inspection as transients or variable stars by team members. Additional context information from various ground-based and space-based facilities is summarized on a source-specific webpage for reference. Interesting transients are announced via Astronomers Telegrams (e.g., Kasliwal et al. 2014b; Jencson et al. 2015, 2016a, 2016b).

4. First Transient Discoveries

In the first year, SPIRITS detected over 1958 variable stars and 43 IR transient sources. Of these 43 transients, 21 were known supernovae and 4 were in the luminosity range of classical novae. SPIRITS supernovae have been discussed indepth as a Type Ia sample (Johansson et al. 2017), a corecollapse sample (Tinyanont et al. 2016), and a case-study of the peculiar low-velocity SN 2014dt (Fox et al. 2016). Four transients had optical counterparts: SPIRITS 14axm in NGC 2403 (luminous blue variable; S. van Dyk et al. 2017, in preparation), SPIRITS 14pz in NGC 4490 (stellar merger candidate; Smith et al. 2016), SPIRITS 14bme in NGC 300 (high mass X-ray binary; Lau et al. 2016), and SPIRITS 14bmc in NGC 300 (S. Adams et al. 2017, in preparation). Here, we discuss the remaining 14 events (see Table 2), which are unusual IR transients in the luminosity gap between novae and supernovae with no optical counterparts, hereafter referred to as SPRITEs (eSPecially Red Intermediate-luminosity Transient Events).

The common properties of this new class of SPRITEs are as follows.

- 1. Peak luminosity at [4.5] brighter than -11 mag and fainter than -14 mag (see Table 3).
- 2. IRAC Color [3.6]–[4.5] between 0.3 mag and 1.6 mag (see Table 3).
- 3. No optical counterpart in concomitant or follow-up imaging to at least r < 20 mag (see Table 4).

²⁵ http://web.ipac.caltech.edu/staff/fmasci/home/miscscience/ptfide-v4. 0.pdf



Figure 2. Thumbnails of discovery, reference, and subtraction images. The left column is SPIRITS 14qk, 14afv, 14agd, 14ajc, 14ajd, 14aje, and 14ajp. The right column is SPIRITS 14qir, 14ave, 14axa, 14axb, 14bay, 14bqq, and 14bsb.

 Table 2

 SPRITEs: Coordinates and Host Galaxies

Name	R.A. (J2000)	Decl. (J2000)	Host Galaxy	Distance Modulus
SPIRITS 14qk	09h55m28s.72	+69°39′58″6	MESSIER 82	27.73 (Jacobs et al. 2009)
SPIRITS 14afv	12h50m49s56	+41°05′52″7	MESSIER 94	28.31 (Tully et al. 2013)
SPIRITS 14agd	13h05m30s87	-49°26′50″.8	NGC 4945	27.90 (Mould & Sakai 2008)
SPIRITS 14ajc	13h36m52s95	-29°52′16″1	MESSIER 83	28.41 (Radburn-Smith et al. 2011)
SPIRITS 14ajd	13h37m05 ^s 02	-29°48′56″2	MESSIER 83	28.41 (Radburn-Smith et al. 2011)
SPIRITS 14aje	14h02m55s.51	+54°23′18″5	MESSIER 101	29.34 (Tikhonov et al. 2015)
SPIRITS 14ajp	13h37m12s71	-29°49′14″.9	MESSIER 83	28.41 (Radburn-Smith et al. 2011)
SPIRITS 14ajr	13h36m54s81	-29°52′33″7	MESSIER 83	28.41 (Radburn-Smith et al. 2011)
SPIRITS 14ave	03h47m03s17	+68°09′05″.3	IC 342	27.58 (Wu et al. 2014)
SPIRITS 14axa	09 ^h 56 ^m 01 ^s 52	$+69^{\circ}03'12''_{}5$	MESSIER 81	27.80 (Jang et al. 2012)
SPIRITS 14axb	07h36m34s70	$+65^{\circ}39'22''_{4}$	NGC 2403	27.51 (Radburn-Smith et al. 2011)
SPIRITS 14bay	12h56m43s25	+21°42′25″7	MESSIER 64	29.37 (Tully et al. 2013)
SPIRITS 14bgq	13h39m50s99	-31°38′46″0	NGC 5253	27.76 (Mould & Sakai 2008)
SPIRITS 14bsb	01h35m06s72	-41°26′13″5	NGC 625	28.12 (Jacobs et al. 2009)

(This table is available in machine-readable form.)

4. Occuring in star-forming galaxies even though the SPIRITS galaxy sample has a mix of all galaxy types (see Figure 3).

First, we place SPRITEs the in context of the IR transient phase space. We plot the IR luminosity evolution of SPRITEs and compare to well-known novae and supernovae (see Figure 4). SPRITEs are too luminous to be classical novae. The highest absolute magnitude that can be achieved by dusty classical novae is approximately -11 mag at [3.6]. This maximum occurs when an optically thick dust shell forms about 50–100 days after outburst while the central engine is still near Eddington luminosity (e.g., NQ Vul, LW Ser; see Gehrz et al. 1995). At peak dust production, these novae can have a [3.6]–[4.5] color of ≈ 1.5 mag (see Ney & Hatfield 1978; Gehrz et al. 1980). Some very fast novae that do not form dust (e.g., V1500 Cyg; see Gallagher & Ney 1976; Ennis et al. 1977) may also reach luminosities of up to ≈ -11 mag for a few days at outburst.

Next, we characterize the light curves of SPRITEs. The *Spitzer* light-curve data are presented in Table 5. We broadly categorize SPRITEs into two relative speed classes (see Table 3): (1) six SPIRITEs evolve slowly over many year timescales with speeds slower than 0.5 mag yr⁻¹ (Figure 5), and (2) eight SPRITEs evolve faster than 0.5 mag yr⁻¹ (Figure 6). Of these, four evolve on timescalses of a few months, faster than 1.5 mag yr^{-1} and fade below detectability in less than one year.

However, the light-curve comparison is limited as the light curves of SPRITEs are diverse, infrequently sampled and the explosion times are poorly constrained. Therefore, we plot IR luminosity versus IR color at all epochs (top panel of Figure 7). We find that SPRITEs occupy a unique region in phase space between novae and supernovae on this dynamic HR diagram of explosive IR transients. We note that the IR colors of SPRITEs are as red as the reddest novae, core-collapse supernovae, and ILRTs. The corresponding effective blackbody temperatures of SPRITEs span 350 K to 1000 K. Furthermore, we plot IR luminosity versus optical-IR color where available (bottom panel of Figure 7). SPRITEs are not detected in the optical and hence, denoted by limits. We find that the SPRITEs are much redder than both novae and supernovae.

The puzzling absence of optical emission from SPRITEs challenges a supernova interpretation. Typical supernovae are brighter than 20 mag at 20 Mpc for many months in the visual wavebands. Thus, no prior history of optical detection (despite the intensive monitoring of nearby galaxies by several synoptic surveys and amateurs in the optical wavebands) suggests that SPRITEs are unlikely to be old supernovae. If the IR explosion time is strongly constrained and the lifetime is shorter than a year, it implies that the peak luminosity was not much higher than observed (and that the transient is not old). We consider the hypothesis that two fast SPRITEs-SPIRITS 14axa and SPIRITS 14bay-are obscured supernovae. Applying a simple extinction law to a 10,000 K blackbody (Cardelli et al. 1989; Chapman et al. 2009), we find that an observed [3.6]-[4.5] color of 1 mag requires visual extinction of 30 mag respectively. This is difficult, especially given the location of SPRITEs in the middle-to-outer galaxy disks (Figure 3). Moreover, if this is correct, given that there were six new optical supernovae in the SPIRITS sample in 2014, it would suggest that optical surveys are missing one-fourth of the supernovae due to obscuration.

Next, there are a few possible theoretical models that may explain SPRITEs. For example, coalescence of $1-30 M_{\odot}$ binaries is expected to create copious amounts of dust in an optically thick wind launched during the stellar merger (Soker & Tylenda 2006; Ivanova et al. 2013; Nicholls et al. 2013; Kashi & Soker 2016; Pejcha et al. 2016). Another possibility is that these are electron-capture-induced collapse of $8-10 M_{\odot}$ extreme AGB stars where the shock breakout did not destroy all the dust surrounding the progenitor (Kochanek 2011). However, another possibility is that weak shocks in failed supernovae that form black holes may also not lead to bright optical transients but rather IR transients as the ejection of large amounts of material at low velocity may condense to form dust (Lovegrove & Woosley 2013; Piro 2013). We consider the likelihood of each of these models below.

The speed of evolution is diagnostic of the origin in that a terminal, explosive event (eCSNe, obscured SNe, failed SNe) would likely belong to the fast class, and stellar mergers would likely belong to the slow class. Stellar merger of massive stars may result in a slowly evolving red remnant. As was seen with SPIRITS 14pz in NGC 4490 (Smith et al. 2016)

Light-curve Properties of SPRITEs													
Name	Peak Time [3.6] MJD	Peak Mag [3.6] Mag	Peak Time [4.5] MJD	Peak Mag [4.5] Mag	Color Peak Time [3.6]–[4.5] MJD	Color Peak Mag [3.6]–[4.5] Mag	Progenitor limit [3.6] Mag	Progenitor limit [4.5] Mag	Lifespan [3.6] years	Evolution [3.6] mag yr ⁻¹	Lifespan [4.5] years	Evolution [4.5] mag yr ⁻¹	Speed
SPIRITS 14ave	57007.4	-11.2	57007.4	-11.8	57182.2	0.3	-10.6	-10.8	1.0	0.1	1.0	0.5	Slow
SPIRITS 14afv	56722.7	-11.4	56754.9	-12.1	57250.7	1.2	-10.6	-10.9	1.4	0.9	2.1	0.5	Fast
SPIRITS 14ajp	56787.2	-11.3	55290.7	-11.9	56915.5	0.7	-10.0	-10.3	5.6	0.2	4.4	< 0.1	Slow
SPIRITS 14axb	56827.4	-11.3	56827.4	-11.9	56827.4	0.6	-7.90	-9.37	0.1	-32.2	0.4	-6.2	Fast
SPIRITS 14bay	56889.8	-11.4	56889.8	-12.6	56889.8	1.2	-11.4	-11.5					Fast
SPIRITS 14ajr	56915.5	-11.3	56787.2	-12.2	56915.5	0.8	-11.1	-11.5	4.4	-0.1	5.0	0.1	Slow
SPIRITS 14axa	56821.9	-11.1	56821.9	-11.6	56821.9	0.5	-9.19	-9.56					Fast
SPIRITS 14agd	56764.5	-11.5	56544.9	-13.6	56787.1	0.9	-11.3	-11.7	1.1	-0.1	1.6	1.5	Fast
SPIRITS 14bgq	55424.4	-10.8	55424.4	-11.6	57312.2	0.8		•••	5.6	< 0.1	5.6	< 0.1	Slow
SPIRITS 14qk	56831.7	-10.9	54430.7	-11.2	56846.3	0.4	-10.6	-10.7	0.7	0.9	7.1	< 0.1	Fast
SPIRITS 14ajc	56765.1	-11.3	56765.1	-12.2	57161.2	0.5	-10.6	-11.4	5.1	< 0.1	5.1	0.1	Slow
SPIRITS 14aje	56742.8	-11.9	56742.8	-13.7	56771.8	1.6	-10.5	-11.6	0.4	2.4	0.1	7.3	Fast
SPIRITS 14ajd	55290.7	-11.2	55290.7	-12.2	56915.5	1.0	-10.3	-10.9	4.4	0.1	5.1	0.3	Slow
SPIRITS 14bsb	57078.5	-12.5	57085.0	-13.3	57446.6	1.5			1.9	0.6	1.9	< 0.1	Fast

 Table 3

 Light-curve Properties of SPRIT

(This table is available in machine-readable form.)

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Figure 3. Collage of host galaxies of 14 IR transients discovered by SPIRITS. Background images are $6'_{.9} \times 4'_{.6}$, centered on the SPRITE location, and taken from the Digitized Sky Survey.

and the transient in M 101 (Blagorodnova et al. 2017), the SPRITE luminosities are consistent with late-time observations of a stellar merger. The young stellar population in the vicinity of these transients also supports the presence of massive stars. Five slow SPRITEs could be stellar mergers (we exclude SPIRITS 14bgq as it repeats—appears, disappears, and re-appears—and could either be a background AGN or an extreme AGB variable). If this hypothesis is correct, the rates appear to be higher than that estimated by Kochanek et al. (2014). Now for the relatively fast events, the nature of the explosion can be disentangled if there is a progenitor identification. Distinguishing features of the eCSNe class are (1) the detection of an IR progenitor star with an absolute magnitude brighter than -10 mag and color redder than 1 mag (Thompson et al. 2009; Kochanek 2011), and (2) subsequently, a monotonic decline of the transient emission below the progenitor luminosity (Adams et al. 2016). Only two SPRITEs have detections in archival *Spitzer* imaging: SPIRITS 14bgq and SPIRITS 14bsb. However, neither is a promising eCSN as



Figure 4. Light curves of SPRITEs (red stars) are in the mid-IR luminosity gap between novae (orange) and supernovae (blue). Note that the assumed explosion time for SPRITEs is the last non-detection in archival data (and, hence, the phase is a conservative upper limit).

SPIRITS 14bgq is eruptive (not explosive) and SPIRITS 14bsb appears to be part of a massive star cluster. The remaining SPRITEs have upper limits deep enough (see Table 3) to rule out most of the progenitor parameter space delineated by Thompson et al. (2009).

If an HST progenitor is detected and consistent with a massive star, there are two possibilities: an eruption event like eta Carinae or a terminal explosive event like the formation of a stellar mass black hole. Both would be consistent with a young population. One way to distinguish these two would be to continue monitoring to see if the fading is monotonic (hence, terminal) or if there is another episode of eruption. However, none of the SPRITEs with both pre-explosion and post-explosion archival HST imaging have candidate progenitor counterparts. Specifically, our HST imaging of SPIRITS 14aje in 2014 September shows only one faint star detected at the edge of a 3σ positional error circle in the I-band. However, this star does not vary between 2003 and 2014, is not detected in the *J*-band and *H*-band, and is likely unrelated to the transient. Similarly, our HST imaging in the I-band of SPIRITS 14axa shows one star that brightened by \sim 0.4 mag between 2002 and 2014, but it is consistent with a normal field red giant lying close to the tip of the red-giant branch and is likely unrelated.

In summary, the IR photometric data alone is not sufficient to distinguish between various models. Ground-based spectroscopic data has been difficult to obtain because these transients are either very faint or not detected in the near-IR/optical wavelengths. Next, we present a detailed case-study on one transient for which we see shock-excited emission lines in a near-IR spectrum and hence, infer a physical origin.

5. SPIRITS 14ajc: A Transient Driving a Shock into a Molecular Cloud

SPIRITS 14ajc in M 83 went into outburst in 2010 and has stayed at a [3.6]-band luminosity of -11 mag and a [3.6]–[4.5] color of 0.7 mag for the past four years (Figure 5). No quiescent source was detected in *Spitzer* images taken between 2006 and 2008. No optical or near-IR counterpart is detected in ground-based follow-up in 2014 (see Table 4). Based on the cool SED

of the transient, the effective blackbody temperature is approximately 900 K.

SPIRITS 14ajc is located in a spiral arm of M83 with intense star formation (Figure 3). The 2.6 mm ¹²CO J = 1-0 emission at the position of SPIRITS 14ajc, measured with the Nobeyama Millimeter Array (Hirota et al. 2014), has a peak brightness temperature of 2.8 K, a velocity integrated flux I(CO) = 28.6Kelvin km s⁻¹ in the 6" by 12" synthesized beam, and is centered at $V_{LSR} = 572 \pm 15$ km s⁻¹. The X-factor method (Bolatto et al. 2013) to convert I(CO) into H₂ column density using a solar-vicinity value for the X-factor (2.0×10^{20} cm⁻² K⁻¹) gives N(H₂) $\approx 5.7 \times 10^{21}$ cm⁻² per beam corresponding to a visualwavelength (V-band) extinction of 6 mag if the cloud were distributed uniformly over the beam. The NMA beam-size corresponds to a physical scale of 128 pc $\times 279$ pc at the distance of M 83, making it likely that the extinction is patchy and potentially higher along the line of sight to SPIRITS 14ajc.

A K-band spectrum obtained with the MOSFIRE spectrometer (McLean et al. 2012) on the Keck I 10 m telescope on 2014 June 8 found five emission lines of molecular hydrogen, and neither any continuum nor any other lines (Figure 8). The observed properties of these ro-vibrational transitions are summarized in Table 6. The velocities are consistent with the CO radial velocity at the position of SPIRITS 14ajc. The relative line intensities of the four v = 1-0 ro-vibrational transitions are consistent with shock-excitation at a temperature around 1000 to 2000 K and similar to the relative intensities in Herbig-Haro objects such as HH 211 (O'Connell et al. 2005). However, the intensity ratio of the v = 2-1 to 1–0 vibrational transition corresponds to a higher temperature, possibly indicating that fluorescent pumping in the ultraviolet Lyman and Werner bands may play a role in exciting the higher vibrational states of H₂, or that the shock structure in the emission regions has a more complex temperature structure.

The site of SPIRITS 14ajc in M83 was fortuitously imaged by HST with the WFC3 camera in 2012 (program GO-12513, PI W. Blair). The SPIRITS 14ajc light curve suggests that the event was underway in 2012, though, unfortunately, there were no Spitzer observations in this year. Figure 9 depicts the site of SPIRITS 14ajc in the HST V, I, $H\alpha + [N II]$, and H bandpasses. The source lies in a very crowded stellar field with only modest extinction. In the *I*-filter, there is a faint star (26.0 mag, $M_I \simeq -3.8$) that lies close to the center of the error circle and a brighter star (25.0 mag, $M_I \simeq -2.8$) that lies at the eastern edge of the 3σ position uncertainty. The absolute magnitudes are consistent with both stars being normal field red giants. Only the brighter of these two stars is marginally detected at V and neither star is detected in Hband. In the absence of any other HST images in these filters taken at different dates, we cannot definitely rule out that either of the I-band sources is the counterpart of SPIRITS 14ajc, but the lack of a detection at H argues against this. The 5σ limiting magnitude (Vega-scale) for the *H*-band exposure is about 23.5. The H α +[N II] image (third frame) shows nothing at the site, but nearby is a small, faint, bubblelike emission nebula just outside the error circle. Its diameter is about 0."6, or approximately 16 pc. To characterize the stellar and ISM environment, we show a color rendition of its wider surroundings. There are several young associations, some containing red supergiants, as well as a network of dark dust lanes, within a few arcseconds of the site. However,

Name	Observation Date	Telescope	Instrument	Filter	Photometry
SPIRITS 14qk	2014 stack ($N = 74$)	P48	iPTF	R	>23.5
-	2014 May 08	LCOGT-1 m	SBIG	i	>19.2
	2014 May 12	LCOGT-1 m	SBIG	i	>19.0
	2014 May 14	LCOGT-1 m	SBIG	i	>19.2
	2014 May 18	LCOGT-1 m	SBIG	i	>19.0
	2014 May 27	LCOGT-1 m	SBIG	i	>19.2
	2014 May 29	LCOGT-1 m	SBIG	i	>19.2
	2014 Jun 02	LCOGT-1 m	SBIG	i	>19.2
	2014 Jun 11	LCOGT-1 m	SBIG	i	>19.2
	2014 Oct 17	LCOGT-1 m	SBIG	i	>20.5
	2014 Oct 23	LCOGT-1 m	SBIG	i	>20.6
	2014 Oct 24	LCOGT-1 m	SBIG	i	>20.6

Table 4Follow-up Photometry

(This table is available in its entirety in machine-readable form.)

 Table 5

 Spitzer Photometry of All SPRITEs

Name	Observation Date	Filter	Magnitude
SPIRITS14ave	56810.60	[3.6]	16.85 ± 0.01
SPIRITS14ave	56979.87	[3.6]	16.76 ± 0.01
SPIRITS14ave	57007.45	[3.6]	16.44 ± 0.01
SPIRITS14ave	57153.80	[3.6]	16.94 ± 0.01
SPIRITS14ave	57159.88	[3.6]	16.97 ± 0.01
SPIRITS14ave	57182.18	[3.6]	16.96 ± 0.01
SPIRITS14ave	57373.86	[3.6]	>17.34
SPIRITS14ave	53672.56	[4.5]	>29.56
SPIRITS14ave	56810.60	[4.5]	16.18 ± 0.01
SPIRITS14ave	57007.45	[4.5]	15.79 ± 0.01
SPIRITS14ave	57153.80	[4.5]	16.40 ± 0.01
SPIRITS14ave	57159.88	[4.5]	>16.71
SPIRITS14ave	57182.18	[4.5]	16.64 ± 0.01
SPIRITS14ave	57373.86	[4.5]	>17.17

(This table is available in its entirety in machine-readable form.)

14ajc is not clearly associated with either the very young clusters or dark dust features.

We consider two models for SPIRITS 14ajc: the explosion of a supernova immediately behind or inside a dense molecular cloud (Kasliwal et al. 2005), and the production of an eruptive protostellar outflow similar to the explosion that occurred in Orion about 500 years ago (Zapata et al. 2009; Bally et al. 2011, 2015, 2017).

5.1. Is SPIRITS 14ajc Powered by a Supernova?

In the supernova scenario, two mechanisms may contribute to the IR signal. First, the flash produced by the explosion can be reprocessed into the IR portion of the spectrum by dust as the light echo propagates through the dense interstellar medium. In this scenario, foreground dust completely extinguishes the visual to near-IR wavelength signature of the event except for the H_2 emission lines. Second, if the supernova is sufficiently close to a dense cloud, the impact of the forward shock can excite H_2 emission as the blast-wave slams into a dense molecular medium. There may be two components to this emission: collisional excitation of H_2 in the swept-up, compressed, and accelerated, post-shock layer, and fluorescent excitation by the UV radiation emitted by fast shocks. Shock radiation can excite both H_2 located ahead of the shock, and surviving or re-formed H_2 in the swept-up, post-shock layer. A visual extinction of more than 15 mag is required to hide the visual and near-IR continuum of a supernova.

The ionizing radiation of the supernova progenitor should have created a large ionized cavity in the surrounding ISM. However, there is no obvious H II region at the location of SPIRITS 14ajc. It is possible that foreground dust obscures any cavity or HII region. Alternatively, a high-velocity, "runaway" OB-star would have only carved a small cavity. More than 30% of massive OB stars are ejected from their birth sites with velocities greater than 20 km s^{-1} , and more than 10% with velocities larger than 100 km s^{-1} (Gies & Bolton 1986). Two ejection mechanisms have been identified: (1) dynamical interactions, such as the re-arrangement of the non-hierachical multiple stars into a hierarchical configuration such as a compact binary and ejected members (Hoogerwerf et al. 2000, 2001; Gualandris et al. 2004), and (2) the supernova explosion of the most massive member of an OB-star binary, which results in the ejection of the surviving member at its pre-supernova Keplerian orbital speed. Alternatively, if the runaway O star were in a redsupergiant phase prior to its demise as a supernova as it entered a molecular cloud, it would have avoided the production of an ionized cavity. It is also possible that the supernova was a Type Ia, which happened to drift into a molecular cloud. However, such events must be rare since the volume filling factor of dense molecular clouds in a galaxy tends to be less than 1%.

5.2. Is SPIRITS 14ajc Powered by a Massive Protostellar Eruption?

In the second model, the SPIRITS 14ajc transient may trace an explosion triggered by either a protostellar collision or a violent dynamical interaction of several massive protostars (Dale & Davies 2006; Davies et al. 2006). Such an event is suggested to have occurred in the OMC1 cloud core in the Orion A molecular cloud located behind the Orion Nebula, the



Figure 5. IR light curves of relatively slow evolving SPRITES.

nearest region of ongoing massive star formation ($D \approx 414 \text{ pc}$; Menten et al. 2007). The OMC1 outflow consists of a spectacular, wide opening-angle, arcminute-scale (0.1 to 0.3 pc) outflow, which is the brightest source of near-IR H₂ emission in the sky (Allen & Burton 1993; Kaifu et al. 2000; Zapata et al. 2009; Bally et al. 2011, 2015). The radial velocity of the brightest part of the H₂ emission exhibits a line-width of less than about 70 km s⁻¹, consistent with the H₂ line-widths of SPIRITS 14ajc. Radial velocities and proper motions of more than 300 km s⁻¹ are observed in visual and near-IR spectral lines such as [O I], [S II], and [Fe II]. The momentum and kinetic energy is at least $160 M_{\odot} \text{ km s}^{-1}$ and $4 \times 10^{46} \text{ erg}$ (Snell et al. 1984) to $4 \times 10^{47} \text{ erg}$ (Kwan & Scoville 1976). Zapata et al. (2009) presented a CO J = 2-1 interferometric study and found a dynamic age of about 500 years for the larger OMC1 outflow. The initial explosion energy required to drive the observed outflow is between 10^{47} to 10^{48} erg (Bally et al. 2017).

High-velocity, runaway stars are common among massive stars (Hoogerwerf et al. 2000; Gualandris et al. 2004). Radio-frequency astrometry has shown that two radio-emitting stars in OMC1, the 10 to $15 M_{\odot}$ Becklin-Neugebauer (BN) object, and radio source I, thought to have a mass of about $20 M_{\odot}$ (Goddi et al. 2011), have proper motions of 25 and 14 km s⁻¹, respectively, away from a region less than 500 au in diameter from which they were ejected about 500 years ago (Rodríguez et al. 2005; Gómez et al. 2008;



Figure 6. IR light curves of relatively fast evolving SPRITEs.

Goddi et al. 2011). The kinetic energy in stellar motions is about 4×10^{47} erg. The total energy of the OMC1 event, $\sim 10^{48}$ erg, consists of the kinetic energy in the ejected stars, the current kinetic energy of the outflow, and the energy radiated away by shocks over the last 500 years (Bally et al. 2011).

Bally & Zinnecker (2005) proposed that the OMC1 explosion was triggered by the collision and merging of forming massive stars. Dynamic friction and Bondi–Hoyle accretion onto a massive protostellar core inside a dense cluster-forming clump may have lead to rapid migration of the most massive protostars to the center of the clump's potential well where they formed a non-hierarchial system of massive stars with similar interstellar separations. Such systems are unstable; interactions led to the formation of a hierarchical

system consisting of a compact binary and a distant third member \sim 500 years ago. In this scenario, the final three-body encounter would have resulted in the formation of a compact, astronomical-unit-scale binary, most likely source I, and the ejection of both radio source I and BN from the OMC1 core Bally et al. (2011).

Goddi et al. (2011) used N-body simulations to show that the most likely initial configuration of massive stars in OMC1 that led to the observed current configuration of ejected stars consists of a massive binary star interacting with another massive star. The interaction leads to a hardening of the binary and consequent release of gravitational potential energy.

Binary stars are common among massive stars and the mass distribution is peaked at similar component masses. A



Figure 7. Top: a luminosity-color rendition of the phase space of IR explosions illustrating the unique location of SPRITEs (corresponding effective temperatures are between 350 K and 1000 K). The comparison set includes all detections of all known supernovae hosted by SPIRITS galaxies (Johansson et al. 2017; Tinyanont et al. 2016) and two Galactic classical novae (Gehrz et al. 1980, 1995). Detections at all epochs in the light curve for each transient is shown. Bottom: another luminosity-color rendition of the phase space of IR explosions showing optical-infrared color on the *x*-axis. Since SPRITEs are not detected in the optical, they are shown as limits (red right arrows). Note that SPRITEs are much redder than novae (gray triangles, Nova Cygni 1975; Gallagher & Ney 1976) and supernovae (gray circles, SN2011dh and SN2014J; Helou et al. 2013; Johansson et al. 2017), and the limits are comparable to late-stage LRNs (M1010T; black squares; Blagorodnova et al. 2017) and ILRTs (SN2008S; gray squares; Adams et al. 2016).

massive binary can form from the bar instability in a massive disk. This mechanism tends to produce circular orbits in the plane of cirumbinary and/or circumstellar disks. In forming clusters with a high density of protostars, massive stars surrounded by massive disks also have a high probability of capturing cluster members to form binaries (Moeckel & Bally 2006, 2007b). The secondary in such a capture-formed binary is most likely to be on a high-eccentricity, high-inclination orbit with respect to the spin axis of the massive stars and its disk (Moeckel & Bally 2007a; Cunningham et al. 2009). Thus, binary-binary or binary-single star interactions are likely in dense proto-clusters.

The kinetic energy of the outflow and ejected stars came from the release of gravitational binding energy of a compact binary (or stellar merger) formed by the interaction of three or more stars. The total energy liberated by the formation or hardening of a binary depends on the stellar masses, M_1 and M_2 , and the orbit semimajor axis, R, as $E_B \approx GM_1M_2/2R$. For stars in the mass range of 10 to $100 M_{\odot}$ and binary separation 0.5 < R < 10 au E_B ranges from 10^{47} to 10^{51} erg. Assuming that radio source I consists of a pair of $10 M_{\odot}$ stars and that the energy required to eject the stars, the outflow, and to account for radiative losses is $E = 10^{48}$ erg, the semimajor axis of the final binary must be $R \sim GM^2/2E \approx 0.9$ au.

Forming massive stars accreting at rates of $\sim 10^{-4}$ to $\sim 10^{-3} M_{\odot} \text{ yr}^{-1}$ tend to have bloated, astronomical-unit-scale photospheres and resemble red supergiants (Hosokawa & Omukai 2009). Radio source I has a photospheric temperature of ~ 4000 K, consistent with high-accretion models (Testi et al. 2010). If at least one star before the interaction were accreting at such a high rate, the 10^{48} erg energy requirement of the OMC1 event implies that any attempt to form an astronomical-unit-scale binary would have led to a protostellar collision and consequent ejection of some of the bloated star's photosphere before ejection from the cloud core.

In the dynamical interaction model, the disruption of circumstellar disks by the final close-in three-body stellar encounter, combined with the recoil of the larger-scale envelope power the OMC1 outflow and a luminous IR flare. Ejected material slams into the surrounding cloud core and lower-density envelope with speeds comparable to the *Kepler* speed at their point of origin. Ejecta velocities are expected to range from 10s of km s⁻¹ for material originating tens of au to over 500 km s^{-1} for ejecta from within a few tenths of an au of a massive star. The X-ray, UV, and visual light from shocks will be obscured and reprocessed by the surrounding cloud into the IR. Powerful shocks can produce an IR flare with luminosities of up to 10^{51} erg for the most massive star collisions (Bally & Zinnecker 2005).

The duration of the IR flare is given by the shock crossing time of the part of the clump sufficiently dense to reprocess the shock-energy into the IR. The kinetic energy of the outflow is converted by surrounding dust to the mid- and far-IR, where the clump density is sufficiently large. Taking a clump radius, $R_{\rm clump} \sim 0.01-0.05 \,\mathrm{pc}$, and an initial ejecta velocity of $V_{\rm ejecta} \sim 500 \,\mathrm{km \, s^{-1}}$ implies a crossing time of $t_{\rm cross} \sim R_{\rm clump}/V_{\rm ejecta} \sim 20$ to 100 years. Emission of $2 \times 10^{47} \,\mathrm{erg}$ in 20 years, a lower bound on the early radiative losses for an Orion-like event, implies a mean luminosity of $10^5 L_{\odot}$.

The Orion OMC1 explosion is not unique among starforming regions. Zapata et al. (2013) found evidence for a powerful explosive outflow in the DR 21 complex in Cygnus. If such dynamic interactions are responsible for the large number of runaway O stars, and binaries among massive stars, the event rate of OMC1-like events ought to be comparable to the birth-rate of massive stars. We propose that SPIRITS 14ajc may trace the IR flare produced by a dynamic interaction of forming massive stars that either led to the formation of, or hardening of, a compact, astronomical-unit-scale binary, or possibly a protostellar merger.

6. Conclusion and a Way Forward

SPIRITS has discovered a class of unusual IR transients with no detected optical counterparts called SPRITEs (Figure 7).

 Table 6

 SPIRITS 14ajc Emission Lines

Transition	Wavelength	Flux	GFWHM	Relative Velocity	Flux Ratio (Observed)	Flux Ratio if 1000 K	Flux Ratio if 2000 K	Flux Ratio if 3000 K	Flux Ratio if 4000 K
1-0 S(0)	22275.1	11.43	8.96	28	0.28	0.27	0.21	0.19	0.19
1-0 S(1)	21258.9	40.13	6.74	65	1.00	1.00	1.00	1.00	1.00
2-1 S(3)	20774.4	8.013	9.74	57	0.20	0.003	0.084	0.27	0.47
1-0 S(2)	20376.4	10.61	6.04	53	0.26	0.27	0.37	0.42	0.44
1-0 S(3)	19613.6	25.67	6.71	63	0.64	0.51	1.02	1.29	1.45



Figure 8. Spectrum of SPIRITS 14ajc. Note the five lines of excited molecular hydrogen (properties quantified in Table 6). The spectrum spans 19565 Å to 22320 Å in the K-band and sky line residuals are marked in gray.

SPRITEs are in the luminosity gap between novae and supernovae, with mid-IR [4.5] absolute magnitudes between -11 to -14 mag (Vega) and [3.6]–[4.5] colors between 0.3 mag and 1.6 mag (Figure 4). The photometric evolution of SPRITEs is diverse, ranging from <0.1 mag yr⁻¹ to >7 mag yr⁻¹ (Figures 5, 6). SPRITEs appear to represent diverse physical origins and each one merits an in-depth investigation to decipher its nature. Next, we address challenges encountered in the first year of the SPIRITS survey and efforts to overcome them.

A challenge in deciphering the nature of SPRITEs was the sparse sampling of their mid-IR light curves (due to the small number of epochs) with poor constraints on their explosion date (relative to archival images taken many years ago). Subsequent transients discovered in later years of the SPIRITS survey have both a better cadence on their light curve (one week and three week cadence baselines were added) and better constraints on their explosion date (the 2014 SPIRITS data serve as a reference).

Another challenge in the first year was the difficulty of immediate ground-based follow-up due to the delay of availability of *Spitzer* data and the mismatch between *Spitzer* and ground-based visibility. Both of these have improved in later years with Spitzer introducing early data releases for time-critical programs and the additional SPIRITS cadence baselines being scheduled preferentially in time windows that facilitate ground-based follow-up.

However, another challenge was identifying possible progenitor stars due to a combination of a dense stellar population in these nearby galaxies and the coarse *Spitzer* resolution. In order to address this, we now have an ongoing *Hubble Space Telescope* program to image these IR transients while they are still active. Our study of SPIRITS 14ajc highlights the importance of spectroscopy in solving the mystery of the physical nature of the transient. Specifically, detecting the excited molecular hydrogen lines pointed to a shock driven by the dynamical decay of a non-hierarchical system of massive stars. However, spectroscopy has also been extremely challenging because these transients are too red for ground-based instruments, *Spitzer* is too warm now for mid-IR spectroscopy and SOFIA is not sensitive enough for these faint transients. Spectroscopy with the *James Webb Space Telescope (JWST)* of slowly evolving SPIRITS transients would shed light on their nature. Specifically, the low-resolution spectrometer could determine dust mass, grain chemistry, ice abundance, and energetics to disentangle the proposed origins.

Regardless of the open questions on their origin, the discovery of SPRITEs representing a new class(es) of IR transients at a rate comparable (or higher) than supernovae is encouraging and motivates a synoptic IR search. However, undertaking a wide-field IR search for transients beyond targeting nearby galaxies requires overcoming the formidable challenge posed by the night sky brightness and detector cost. Concepts with alternative semiconductors (Sullivan et al. 2014) or creative optics on polar sites (Moore et al. 2016) are being investigated. If WFIRST elects a suitable survey design and prioritizes near real-time transient identification, it could be a powerful probe to discover IR transients.

In summary, the SPIRITS discovery of SPRITEs bodes well for future wide-field explorations of the dynamic IR sky.

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Figure 9. Top: *HST* WFC3 images of the site of SPIRITS 14ajc in M83, taken in 2012, while the event was underway. Frames are $3^{\prime\prime}_{...}6$ high, and have north at the top and east on the left. From left to right, the frames are in *V* (F547M), *I* (F814W), H α +[N II] (F657N), and *H* (F160W). The green circle in the F814W image marks the position of the transient, with a radius corresponding to the 3σ positional uncertainty (alignment rms was $0^{\prime\prime}_{...}04$). There is a very faint star near the center of the error circle in the F814W image, and a brighter one at the eastern edge, but both are unlikely to be the counterparts of 14ajc. Neither star is detected at *H*. A faint bubble-like emission nebula lies close to 14ajc in the third frame, but outside the error circle. Bottom: color rendition showing the stellar and ISM environment around SPIRITS 14ajc in M83, made from *HST* images in *U*, *B*, and *I* in the Hubble Legacy Archive. The field is 20" high, with north at the top and east on the left. The location of SPIRITS 14ajc is marked with a red cross. The transient lies near several young associations and dark dust lanes, but apparently not within them.

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